

CS406: Compilers

Spring 2021

Week 5: Parsers

First Set - Example

- 1) $S \rightarrow ABc\$$
- 2) $A \rightarrow xaA$
- 3) $A \rightarrow yaA$
- 4) $A \rightarrow c$
- 5) $B \rightarrow b$
- 6) $B \rightarrow \lambda$

$\text{first}(S) = \{ \text{?} \}$

Think of all possible strings derivable from S .
Get the **first terminal symbol** in those strings
or λ if S derives λ

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- 1) $S \rightarrow ABc\$$
- 2) $A \rightarrow xaA$
- 3) $A \rightarrow yaA$
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- 6) $B \rightarrow \lambda$

$\text{first}(S) = \{ x, y, c \}$

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$\text{first}(S) = \{ x, y, c \}$

$\text{first}(A) = \{ \text{?} \}$

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- 5) $B \rightarrow b$
- 6) $B \rightarrow \lambda$

$\text{first}(S) = \{ x, y, c \}$

$\text{first}(A) = \{ x, y, c \}$

$\text{first}(B) = \{ ? \}$

First Set - Example

- 1) $S \rightarrow ABc\$$
- 2) $A \rightarrow xaA$
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- 4) $A \rightarrow c$
- 5) $B \rightarrow b$
- 6) $B \rightarrow \lambda$

$\text{first}(S) = \{ x, y, c \}$

$\text{first}(A) = \{ x, y, c \}$

$\text{first}(B) = \{ b, \lambda \}$

Follow Set - Example

- 1) $S \rightarrow ABc\$$
- 2) $A \rightarrow xaA$
- 3) $A \rightarrow yaA$
- 4) $A \rightarrow c$
- 5) $B \rightarrow b$
- 6) $B \rightarrow \lambda$

$\text{follow}(S) = \{ ? \}$

Think of all strings **possible in the language** having the form $..Sa..$. Get the **following terminal symbol** a after S in those strings or $\$$ if you get a string $..S\$$

Follow Set - Example

- 1) $S \rightarrow ABc\$$
- 2) $A \rightarrow xaA$
- 3) $A \rightarrow yaA$
- 4) $A \rightarrow c$
- 5) $B \rightarrow b$
- 6) $B \rightarrow \lambda$

$\text{follow}(S) = \{ \quad \}$

Follow Set - Example

- 1) $S \rightarrow ABc\$$
- 2) $A \rightarrow xaA$
- 3) $A \rightarrow yaA$
- 4) $A \rightarrow c$
- 5) $B \rightarrow b$
- 6) $B \rightarrow \lambda$

$\text{follow}(S) = \{ \quad \}$

$\text{follow}(A) = \{ \text{?} \}$

Follow Set - Example

- 1) $S \rightarrow ABc\$$
- 2) $A \rightarrow xaA$
- 3) $A \rightarrow yaA$
- 4) $A \rightarrow c$
- 5) $B \rightarrow b$
- 6) $B \rightarrow \lambda$

$\text{follow}(S) = \{ \quad \}$

$\text{follow}(A) = \{ b, c \}$

e.g. $xaAbc\$$, $xaAc\$$

Follow Set - Example

- 1) $S \rightarrow ABc\$$
- 2) $A \rightarrow xaA$
- 3) $A \rightarrow yaA$
- 4) $A \rightarrow c$
- 5) $B \rightarrow b$
- 6) $B \rightarrow \lambda$

$\text{follow}(S) = \{ \}$

$\text{follow}(A) = \{ b, c \}$ e.g. $xaAbc\$$, $xaAc\$$

What happens when you consider: $A \rightarrow xaA$ or $A \rightarrow yaA$?

Follow Set - Example

- 1) $S \rightarrow ABc\$$
- 2) $A \rightarrow xaA$
- 3) $A \rightarrow yaA$
- 4) $A \rightarrow c$
- 5) $B \rightarrow b$
- 6) $B \rightarrow \lambda$

$\text{follow}(S) = \{ \quad \}$

$\text{follow}(A) = \{ b, c \}$ e.g. $xaAbc\$$, $xaAc\$$

What happens when you consider: $A \rightarrow xaA$ or $A \rightarrow yaA$?

- You will get string of the form $A \Rightarrow^+ (xa)^+A$
- But we need strings of the form: $\dots Aa\dots$ or $\dots Ab\dots$ or $\dots Ac\dots$

Follow Set - Example

- 1) $S \rightarrow ABc\$$
- 2) $A \rightarrow xaA$
- 3) $A \rightarrow yaA$
- 4) $A \rightarrow c$
- 5) $B \rightarrow b$
- 6) $B \rightarrow \lambda$

$\text{follow}(S) = \{ \quad \}$
 $\text{follow}(A) = \{ b, c \}$
 $\text{follow}(B) = \{ ? \}$

Follow Set - Example

- 1) $S \rightarrow ABc\$$
- 2) $A \rightarrow xaA$
- 3) $A \rightarrow yaA$
- 4) $A \rightarrow c$
- 5) $B \rightarrow b$
- 6) $B \rightarrow \lambda$

$\text{follow}(S) = \{ \}$
 $\text{follow}(A) = \{ b, c \}$
 $\text{follow}(B) = \{ c \}$

Predict Set - Example

1) $S \rightarrow ABC\$$

2) $A \rightarrow xaA$

3) $A \rightarrow yaA$

4) $A \rightarrow c$

5) $B \rightarrow b$

6) $B \rightarrow \lambda$

$\text{Predict}(P) =$

$$\begin{cases} \text{First}(X_1 \dots X_m) & \text{if } \lambda \notin \text{First}(X_1 \dots X_m) \\ (\text{First}(X_1 \dots X_m) - \lambda) \cup \text{Follow}(A) & \text{otherwise} \end{cases}$$

$\text{Predict}(1) = \{ ? \} = \text{First}(ABC\$) \text{ if } \lambda \notin \text{First}(ABC\$)$

Predict Set - Example

- 1) $S \rightarrow ABC\$$
- 2) $A \rightarrow xaA$
- 3) $A \rightarrow yaA$
- 4) $A \rightarrow c$
- 5) $B \rightarrow b$
- 6) $B \rightarrow \lambda$

	x	y	a	b	c	\$
S	1	1			1	
A						
B						

Predict (1) = { x, y, c }

Predict Set - Example

- 1) $S \rightarrow ABCc\$$
- 2) $A \rightarrow xaA$
- 3) $A \rightarrow yaA$
- 4) $A \rightarrow c$
- 5) $B \rightarrow b$
- 6) $B \rightarrow \lambda$

	x	y	a	b	c	\$
S	1	1			1	
A						
B						

Predict (1) = { x, y, c }

Predict (2) = { ? } = First(xaA) if $\lambda \notin \text{First}(xaA)$

Predict Set - Example

- 1) S \rightarrow ABc\$
- 2) A \rightarrow xaA
- 3) A \rightarrow yaA
- 4) A \rightarrow c
- 5) B \rightarrow b
- 6) B \rightarrow λ

	x	y	a	b	c	\$
S	1	1			1	
A	2					
B						

Predict (1) = { x, y, c }

Predict (2) = { x }

Predict Set - Example

- 1) $S \rightarrow ABCc\$$
- 2) $A \rightarrow xaA$
- 3) $A \rightarrow yaA$
- 4) $A \rightarrow c$
- 5) $B \rightarrow b$
- 6) $B \rightarrow \lambda$

	x	y	a	b	c	\$
S	1	1			1	
A	2					
B						

Predict (1) = { x, y, c }

Predict (2) = { x }

Predict (3) = { ? } = First(yaA) if $\lambda \notin \text{First}(yaA)$

Predict Set - Example

- 1) S \rightarrow ABCc\$
- 2) A \rightarrow xaA
- 3) A \rightarrow yaA
- 4) A \rightarrow c
- 5) B \rightarrow b
- 6) B \rightarrow λ

	x	y	a	b	c	\$
S	1	1			1	
A	2	3				
B						

Predict (1) = { x, y, c }

Predict (2) = { x }

Predict (3) = { y }

Predict Set - Example

- 1) $S \rightarrow ABc\$$
- 2) $A \rightarrow xaA$
- 3) $A \rightarrow yaA$
- 4) $A \rightarrow c$
- 5) $B \rightarrow b$
- 6) $B \rightarrow \lambda$

	x	y	a	b	c	\$
S	1	1			1	
A	2	3				
B						

Predict (1) = { x, y, c }

Predict (2) = { x }

Predict (3) = { y }

Predict (4) = { ? } = First(c) if $\lambda \notin \text{First}(c)$

Predict Set - Example

- 1) S \rightarrow ABc\$
- 2) A \rightarrow xaA
- 3) A \rightarrow yaA
- 4) A \rightarrow c
- 5) B \rightarrow b
- 6) B \rightarrow λ

	x	y	a	b	c	\$
S	1	1			1	
A	2	3			4	
B						

- Predict (1) = { x, y, c }
- Predict (2) = { x }
- Predict (3) = { y }
- Predict (4) = { c }

Predict Set - Example

- 1) $S \rightarrow ABCc\$$
- 2) $A \rightarrow xaA$
- 3) $A \rightarrow yaA$
- 4) $A \rightarrow c$
- 5) $B \rightarrow b$
- 6) $B \rightarrow \lambda$

	x	y	a	b	c	\$
S	1	1			1	
A	2	3			4	
B						

Predict (1) = { x, y, c }

Predict (2) = { x }

Predict (3) = { y }

Predict (4) = { c }

Predict (5) = { ? }

= First(b) if $\lambda \notin \text{First}(b)$

Predict Set - Example

- 1) S \rightarrow ABCc\$
- 2) A \rightarrow xaA
- 3) A \rightarrow yaA
- 4) A \rightarrow c
- 5) B \rightarrow b
- 6) B \rightarrow λ

	x	y	a	b	c	\$
S	1	1			1	
A	2	3			4	
B				5		

- Predict (1) = { x, y, c }
- Predict (2) = { x }
- Predict (3) = { y }
- Predict (4) = { c }
- Predict (5) = { b }

Predict Set - Example

- 1) $S \rightarrow ABc\$$
- 2) $A \rightarrow xaA$
- 3) $A \rightarrow yaA$
- 4) $A \rightarrow c$
- 5) $B \rightarrow b$
- 6) $B \rightarrow \lambda$

	x	y	a	b	c	\$
S	1	1			1	
A	2	3			4	
B				5		

Predict (1) = { x, y, c }

Predict (2) = { x }

Predict (3) = { y }

Predict (4) = { c }

Predict (5) = { b }

Predict (6) = { ? }

Predict(P) =

$$\begin{cases} \text{First}(X_1 \dots X_m) & \text{if } \lambda \notin \text{First}(X_1 \dots X_m) \\ (\text{First}(X_1 \dots X_m) - \lambda) \cup \text{Follow}(A) & \text{otherwise} \end{cases}$$

= First(λ) ?

Predict Set - Example

- 1) S \rightarrow ABc\$
- 2) A \rightarrow xaA
- 3) A \rightarrow yaA
- 4) A \rightarrow c
- 5) B \rightarrow b
- 6) B \rightarrow λ

	x	y	a	b	c	\$
S	1	1			1	
A	2	3			4	
B				5		

Predict (1) = { x, y, c }

Predict (2) = { x }

Predict (3) = { y }

Predict (4) = { c }

Predict (5) = { b }

Predict (6) = { ? }

Predict(P) =

$$\begin{cases} \text{First}(X_1 \dots X_m) & \text{if } \lambda \notin \text{First}(X_1 \dots X_m) \\ (\text{First}(X_1 \dots X_m) - \lambda) \cup \text{Follow}(A) & \text{otherwise} \end{cases}$$

~~= First(λ) ? Follow(B)~~

Predict Set - Example

- 1) S \rightarrow ABc\$
- 2) A \rightarrow xaA
- 3) A \rightarrow yaA
- 4) A \rightarrow c
- 5) B \rightarrow b
- 6) B \rightarrow λ

	x	y	a	b	c	\$
S	1	1			1	
A	2	3			4	
B				5	6	

- Predict (1) = { x, y, c }
- Predict (2) = { x }
- Predict (3) = { y }
- Predict (4) = { c }
- Predict (5) = { b }
- Predict (6) = { c }

Computing Parse-Table

- 1) $S \rightarrow ABc\$$
- 2) $A \rightarrow xaA$
- 3) $A \rightarrow yaA$
- 4) $A \rightarrow c$
- 5) $B \rightarrow b$
- 6) $B \rightarrow \lambda$

	x	y	a	b	c	\$
S	1	1			1	
A	2	3			4	
B				5	6	

$\text{first}(S) = \{x, y, c\}$
 $\text{first}(A) = \{x, y, c\}$
 $\text{first}(B) = \{b, \lambda\}$

$\text{follow}(S) = \{\}$
 $\text{follow}(A) = \{b, c\}$
 $\text{follow}(B) = \{c\}$

$P(1) = \{x, y, c\}$
 $P(2) = \{x\}$
 $P(3) = \{y\}$
 $P(4) = \{c\}$
 $P(5) = \{b\}$
 $P(6) = \{c\}$

Parsing using parse table and a stack-based model (non-recursive)

Top-Down Parsing - Example

string: xacc\$

Stack

?

Rem. Input

xacc\$

Action

?

What do you put on the stack?

Top-Down Parsing - Example

string: xacc\$

Stack	Rem. Input	Action
?	xacc\$?

What do you put on the stack? – strings that you derive

Top-Down Parsing - Example

string: xacc\$

Stack*

S

Rem. Input

xacc\$

Action

?

Top-down parsing. So, start with S.

* Stack top is on the left-side (first symbol) of the column

Top-Down Parsing - Example

string: xacc\$

Stack*	Rem. Input	Action
S	xacc\$?

Top-down parsing. So, start with S.

What action do you take when stack-top has symbol S and the string to be matched has terminal x in front?

* Stack top is on the left-side (first symbol) of the column

Top-Down Parsing - Example

string: xacc\$

Stack*	Rem. Input	Action
S	xacc\$	Predict(1) S->ABc\$

Top-down parsing. So, start with S.

What action do you take when stack-top has **symbol S** and the string to be matched has **terminal x** in front? – consult parse table

	x	y	a	b	c	\$
S	1	1			1	
A	2	3			4	
B				5	6	

* Stack top is on the left-side (first symbol) of the column

Top-Down Parsing - Example

string: xacc\$

Stack*

S
ABc\$

Rem. Input

xacc\$

Action

Predict(1) S → ABc\$

	x	y	a	b	c	\$
S	1	1			1	
A	2	3			4	
B				5	6	

* Stack top is on the left-side (first symbol) of the column

Top-Down Parsing - Example

string: xacc\$

Stack*	Rem. Input	Action
S	xacc\$	Predict(1) S->ABc\$
A Bc\$	xacc\$	

What action do you take when stack-top has **symbol A** and the string to be matched has **terminal x** in front? – consult parse table

	x	y	a	b	c	\$
S	1	1			1	
A	2	3			4	
B				5	6	

* Stack top is on the left-side (first symbol) of the column

Top-Down Parsing - Example

string: xacc\$

Stack*	Rem. Input	Action
S	xacc\$	Predict(1) S->ABc\$
ABc\$	xacc\$	Predict(2) A->xA

What action do you take when stack-top has **symbol A** and the string to be matched has **terminal x** in front? – consult parse table

	x	y	a	b	c	\$
S	1	1			1	
A	2	3			4	
B				5	6	

* Stack top is on the left-side (first symbol) of the column

Top-Down Parsing - Example

string: xacc\$

Stack*	Rem. Input	Action
S	xacc\$	Predict(1) S → ABc\$
ABc\$	xacc\$	Predict(2) A → xaA
xaABc\$		

	x	y	a	b	c	\$
S	1	1			1	
A	2	3			4	
B				5	6	

* Stack top is on the left-side (first symbol) of the column

Top-Down Parsing - Example

string: xacc\$

Stack*	Rem. Input	Action
S	xacc\$	Predict(1) S → ABc\$
ABc\$	xacc\$	Predict(2) A → xaA
x aABc\$	x acc\$?

	x	y	a	b	c	\$
S	1	1			1	
A	2	3			4	
B				5	6	

* Stack top is on the left-side (first symbol) of the column

Top-Down Parsing - Example

string: xacc\$

Stack*	Rem. Input	Action
S	xacc\$	Predict(1) S → ABc\$
ABc\$	xacc\$	Predict(2) A → xaA
x aABc\$	x acc\$	match(x)

	x	y	a	b	c	\$
S	1	1			1	
A	2	3			4	
B				5	6	

* Stack top is on the left-side (first symbol) of the column

Top-Down Parsing - Example

string: xacc\$

Stack*	Rem. Input	Action
S	xacc\$	Predict(1) S->ABc\$
ABc\$	xacc\$	Predict(2) A->xaA
xaABc\$	xacc\$	match(x)
aABc\$	acc\$	match(a)

	x	y	a	b	c	\$
S	1	1			1	
A	2	3			4	
B				5	6	

* Stack top is on the left-side (first symbol) of the column

Top-Down Parsing - Example

string: xacc\$

Stack*	Rem. Input	Action
S	xacc\$	Predict(1) S->ABc\$
ABc\$	xacc\$	Predict(2) A->xaA
xaABc\$	xacc\$	match(x)
aABc\$	acc\$	match(a)
A Bc\$	c c\$?

	x	y	a	b	c	\$
S	1	1			1	
A	2	3			4	
B				5	6	

* Stack top is on the left-side (first symbol) of the column

Top-Down Parsing - Example

string: xacc\$

	x	y	a	b	c	\$
S	1	1			1	
A	2	3			4	
B				5	6	

Stack*

Rem. Input

Action

S	xacc\$	Predict(1) S->ABc\$
ABc\$	xacc\$	Predict(2) A->xaA
xaABc\$	xacc\$	match(x)
aABc\$	acc\$	match(a)
A Bc\$	c c\$	Predict(4) A->c

* Stack top is on the left-side (first symbol) of the column

Top-Down Parsing - Example

string: xacc\$

	x	y	a	b	c	\$
S	1	1			1	
A	2	3			4	
B				5	6	

Stack*

Rem. Input

Action

S	xacc\$	Predict(1) S->ABc\$
ABc\$	xacc\$	Predict(2) A->xaA
xaABc\$	xacc\$	match(x)
aABc\$	acc\$	match(a)
ABc\$	cc\$	Predict(4) A->c
cBc\$		

* Stack top is on the left-side (first symbol) of the column

Top-Down Parsing - Example

string: xacc\$

	x	y	a	b	c	\$
S	1	1			1	
A	2	3			4	
B				5	6	

Stack*	Rem. Input	Action
S	xacc\$	Predict(1) S → ABc\$
ABc\$	xacc\$	Predict(2) A → xaA
xaABc\$	xacc\$	match(x)
aABc\$	acc\$	match(a)
ABc\$	cc\$	Predict(4) A → c
c Bc\$	c cc\$?

* Stack top is on the left-side (first symbol) of the column

Top-Down Parsing - Example

string: xacc\$

	x	y	a	b	c	\$
S	1	1			1	
A	2	3			4	
B				5	6	

Stack*	Rem. Input	Action
S	xacc\$	Predict(1) S->ABc\$
ABc\$	xacc\$	Predict(2) A->xaA
xaABc\$	xacc\$	match(x)
aABc\$	acc\$	match(a)
ABc\$	cc\$	Predict(4) A->c
c Bc\$	c c\$	match(c)

* Stack top is on the left-side (first symbol) of the column

Top-Down Parsing - Example

string: xacc\$

	x	y	a	b	c	\$
S	1	1			1	
A	2	3			4	
B				5	6	

Stack*	Rem. Input	Action
S	xacc\$	Predict(1) S → ABc\$
ABc\$	xacc\$	Predict(2) A → xaA
xaABc\$	xacc\$	match(x)
aABc\$	acc\$	match(a)
ABc\$	cc\$	Predict(4) A → c
cBc\$	cc\$	match(c)
B c\$	c \$?

* Stack top is on the left-side (first symbol) of the column

Top-Down Parsing - Example

string: xacc\$

	x	y	a	b	c	\$
S	1	1			1	
A	2	3			4	
B				5	6	

Stack*	Rem. Input	Action
S	xacc\$	Predict(1) S → ABC\$
ABc\$	xacc\$	Predict(2) A → xaA
xaABc\$	xacc\$	match(x)
aABc\$	acc\$	match(a)
ABc\$	cc\$	Predict(4) A → c
cBc\$	cc\$	match(c)
Bc\$	c\$	Predict(6) B → λ

* Stack top is on the left-side (first symbol) of the column

Top-Down Parsing - Example

string: xacc\$

	x	y	a	b	c	\$
S	1	1			1	
A	2	3			4	
B				5	6	

Stack*	Rem. Input	Action
S	xacc\$	Predict(1) S → ABC\$
ABc\$	xacc\$	Predict(2) A → xaA
xaABc\$	xacc\$	match(x)
aABc\$	acc\$	match(a)
ABc\$	cc\$	Predict(4) A → c
cBc\$	cc\$	match(c)
B c\$	c\$	Predict(6) B → λ
c\$		

* Stack top is on the left-side (first symbol) of the column

Top-Down Parsing - Example

string: xacc\$

	x	y	a	b	c	\$
S	1	1			1	
A	2	3			4	
B				5	6	

Stack*	Rem. Input	Action
S	xacc\$	Predict(1) S → ABC\$
ABc\$	xacc\$	Predict(2) A → xaA
xaABc\$	xacc\$	match(x)
aABc\$	acc\$	match(a)
ABc\$	cc\$	Predict(4) A → c
cBc\$	cc\$	match(c)
Bc\$	c\$	Predict(6) B → λ
c\$	c\$?

* Stack top is on the left-side (first symbol) of the column

Top-Down Parsing - Example

string: xacc\$

	x	y	a	b	c	\$
S	1	1			1	
A	2	3			4	
B				5	6	

Stack*	Rem. Input	Action
S	xacc\$	Predict(1) S → ABC\$
ABc\$	xacc\$	Predict(2) A → xaA
xaABc\$	xacc\$	match(x)
aABc\$	acc\$	match(a)
ABc\$	cc\$	Predict(4) A → c
cBc\$	cc\$	match(c)
Bc\$	c\$	Predict(6) B → λ
c\$	c\$	match(c)

* Stack top is on the left-side (first symbol) of the column

Top-Down Parsing - Example

string: xacc\$

	x	y	a	b	c	\$
S	1	1			1	
A	2	3			4	
B				5	6	

Stack*	Rem. Input	Action
S	xacc\$	Predict(1) S → ABc\$
ABc\$	xacc\$	Predict(2) A → xaA
xaABc\$	xacc\$	match(x)
aABc\$	acc\$	match(a)
ABc\$	cc\$	Predict(4) A → c
cBc\$	cc\$	match(c)
Bc\$	c\$	Predict(6) B → λ
c\$	c\$	match(c)
\$	\$	Done!

* Stack top is on the left-side (first symbol) of the column

Identifying LL(1) Grammar

- What we saw was an example of LL(1) Grammar
 - Scan input **L**eft-to-right, produce **L**eft-most derivation with 1 symbol look-ahead

Identifying LL(1) Grammar

- What we saw was an example of LL(1) Grammar
 - Scan input **L**eft-to-right, produce **L**eft-most derivation with 1 symbol look-ahead
- Not all Grammars are LL(1)

A Grammar is LL(1) iff for a production $A \rightarrow \alpha \mid \beta$, where α and β are distinct:

1. For no terminal a do both α and β derive strings beginning with a (i.e. no common prefix)
2. At most one of α and β can derive an empty string
3. If $\beta \xRightarrow{*} \epsilon$, then α does not derive any string beginning with a terminal in $\text{Follow}(A)$. If $\alpha \xRightarrow{*} \epsilon$, then β does not derive any string beginning with a terminal in $\text{Follow}(A)$

Example (Left Factoring)

- Consider

<stmt> → if <expr> then <stmt list> endif

<stmt> → if <expr> then <stmt list> else <stmt list> endif

- This is not LL(1) (why?)
- We can turn this in to

<stmt> → if <expr> then <stmt list> <if suffix>

<if suffix> → endif

<if suffix> → else <stmt list> endif

Example (Left Factoring)

- Consider

`<stmt> → if <expr> then <stmt list> endif`

`<stmt> → if <expr> then <stmt list> else <stmt list> endif`

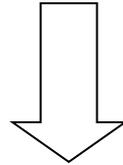
- This is not LL(1) (why?)
- We can turn this in to

`<stmt> → if <expr> then <stmt list> <if suffix>`

`<if suffix> → endif`

`<if suffix> → else <stmt list> endif`

Left Factoring

$$A \rightarrow \alpha \beta \mid \alpha \mu$$

$$A \rightarrow \alpha N$$
$$N \rightarrow \beta$$
$$N \rightarrow \mu$$

Left recursion

- *Left recursion* is a problem for LL(1) parsers
 - LHS is also the first symbol of the RHS
- Consider:
$$E \rightarrow E + T$$
- What would happen with the stack-based algorithm?

Left recursion

- *Left recursion* is a problem for LL(1) parsers
 - LHS is also the first symbol of the RHS

- Consider:

$$E \rightarrow E + T$$

- What would happen with the stack-based algorithm?

E

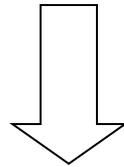
E + T

E + T + T

E + T + T + T

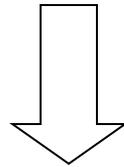
...

Eliminating Left Recursion

$$A \rightarrow A\alpha \mid \beta$$

$$A \rightarrow NT$$
$$N \rightarrow \beta$$
$$T \rightarrow \alpha T$$
$$T \rightarrow \lambda$$

Eliminating Left Recursion

$E \rightarrow E + T$



$E \rightarrow E_1 \text{ Etail}$

$E_1 \rightarrow T$

$\text{Etail} \rightarrow + T \text{ Etail}$

$\text{Etail} \rightarrow \lambda$

LL(k) parsers

- Can look ahead more than one symbol at a time
 - k -symbol lookahead requires extending first and follow sets
 - 2-symbol lookahead can distinguish between more rules:
$$A \rightarrow ax \mid ay$$
- More lookahead leads to more powerful parsers
- What are the downsides?

Are all grammars LL(k)?

- No! Consider the following grammar:

$$\begin{aligned} S &\rightarrow E \\ E &\rightarrow (E + E) \\ E &\rightarrow (E - E) \\ E &\rightarrow x \end{aligned}$$

- When parsing E, how do we know whether to use rule 2 or 3?
 - Potentially unbounded number of characters before the distinguishing '+' or '-' is found
 - No amount of lookahead will help!

LL(k)? - Example

string: ((x+x))\$

- 1) $S \rightarrow E$
- 2) $E \rightarrow (E+E)$
- 3) $E \rightarrow (E-E)$
- 4) $E \rightarrow x$

Stack*	Rem. Input	Action
S	((x+x))\$	Predict(1) $S \rightarrow E$
E		Predict(2) or Predict(3)?

LL(1)

	(+ -)	x
S	1			1
E	2,3			4

LL(2)

	((+(-()\$	(x
S	1				1
E	2,3				4

In real languages?

- Consider the if-then-else problem
- `if x then y else z`
- Problem: else is optional
- `if a then if b then c else d`
 - Which if does the else belong to?
- This is analogous to a “bracket language”: $[^i]^j$ ($i \geq j$)

S → [S C
S → λ
C →]
C → λ

[[] can be parsed: $SS\lambda C$ or $SSC\lambda$
(it's ambiguous!)

Solving the if-then-else problem

- The ambiguity exists at the language level. To fix, we need to define the semantics properly
 - “[] matches nearest unmatched [”
 - This is the rule C uses for if-then-else
 - What if we try this?

$S \rightarrow [S$
 $S \rightarrow SI$
 $SI \rightarrow [SI]$
 $SI \rightarrow \lambda$

This grammar is still not LL(1)
(or LL(k) for any k!)

Two possible fixes

- If there is an ambiguity, prioritize one production over another
- e.g., if C is on the stack, always match “]” before matching “λ”

$$\begin{array}{l} S \rightarrow [S C \\ S \rightarrow \lambda \\ C \rightarrow] \\ C \rightarrow \lambda \end{array}$$

- Another option: change the language!
- e.g., all if-statements need to be closed with an endif

$$\begin{array}{l} S \rightarrow \text{if } S \text{ E} \\ S \rightarrow \text{other} \\ E \rightarrow \text{else } S \text{ endif} \\ E \rightarrow \text{endif} \end{array}$$

Parsing if-then-else

- What if we don't want to change the language?
 - C does not require { } to delimit single-statement blocks
- To parse if-then-else, *we need to be able to look ahead at the entire rhs of a production* before deciding which production to use
 - In other words, we need to determine how many “]” to match before we start matching “[”s
- *LR parsers* can do this!

Bottom-up Parsing

- More general than top-down parsing
- Used in most parser-generator tools
- Need not have left-factored grammars (i.e. can have left recursion)
- E.g. can work with the bracket language

Bottom-up Parsing

- Reduce a string to start symbol by reverse 'inverting' productions

Bottom-up Parsing

- Reduce a string to start symbol by reverse 'inverting' productions

id * id + id

$E \rightarrow T + E$

$E \rightarrow T$

$T \rightarrow id * T$

$T \rightarrow id$

Bottom-up Parsing

- Reduce a string to start symbol by reverse 'inverting' productions

id * id + id
id * T + id

E -> T + E
E -> T
T -> id * T
T -> id

Bottom-up Parsing

- Reduce a string to start symbol by reverse 'inverting' productions

id * id + id
id * T + id
T + id

E -> T + E
E -> T
T -> id * T
T -> id

Bottom-up Parsing

- Reduce a string to start symbol by reverse 'inverting' productions

id * id + id

id * T + id

T + id

T + T

E -> T + E

E -> T

T -> id * T

T -> id

Bottom-up Parsing

- Reduce a string to start symbol by reverse 'inverting' productions

id * id + id

id * T + id

T + id

T + T

T + E

E -> T + E

E -> T

T -> id * T

T -> id

Bottom-up Parsing

- Reduce a string to start symbol by reverse 'inverting' productions

id * id + id

id * T + id

T + id

T + T

T + E

E

E -> T + E

E -> T

T -> id * T

T -> id

Bottom-up Parsing

- Reduce a string to start symbol by reverse 'inverting' productions

id * id + id

id * T + id

T + id

T + T

T + E

E



E -> T + E

E -> T

T -> id * T

T -> id

Bottom-up Parsing

- Reduce a string to start symbol by reverse 'inverting' productions

id * id + id
id * T + id
T + id
T + T
T + E
E

The diagram illustrates the bottom-up parsing process for the string "id * id + id". It shows a sequence of six lines representing the state of the string as it is reduced. Red arrows indicate the specific reductions: from the second "id" to "T", from the third "id" to "T", from the third "id" to "E", and from "E" to "E". A vertical arrow on the right points upwards, indicating the direction of the derivation.

Right-most derivation

$E \rightarrow T + E$
 $E \rightarrow T$
 $T \rightarrow id * T$
 $T \rightarrow id$

Bottom-up Parsing

- Scan the input left-to-right and **shift** tokens – put them on the stack.

| id * id + id

id | * id + id

id * | id + id

id * id | + id

$E \rightarrow T + E$

$E \rightarrow T$

$T \rightarrow id * T$

$T \rightarrow id$

Bottom-up Parsing

- Replace a set of symbols at the top of the stack that are RHS of a production. Put the LHS of the production on stack – **Reduce**

| id * id + id

id | * id + id

id * | id + id

id * id | + id

$E \rightarrow T + E$

$E \rightarrow T$

$T \rightarrow id * T$

$T \rightarrow id$

Bottom-up Parsing

- Did not discuss when and why a particular production was chosen

id * id + id
id * T + id

E → T + E
E → T
T → id * T
T → id

- *i.e. why replace the id highlighted in input string?*

LR Parsers

- Parser which does a **L**eft-to-right, **R**ight-most derivation
 - Rather than parse top-down, like LL parsers do, parse bottom-up, starting from leaves
- Basic idea: put tokens on a stack until an entire production is found
- Issues:
 - Recognizing the endpoint of a production
 - Finding the length of a production (RHS)
 - Finding the corresponding nonterminal (the LHS of the production)

Data structures

- At each state, given the next token,
 - A *goto table* defines the successor state
 - An *action table* defines whether to
 - *shift* – put the next state and token on the stack
 - *reduce* – an RHS is found; process the production
 - *terminate* – parsing is complete

Simple example

1. $P \rightarrow S$
2. $S \rightarrow x ; S$
3. $S \rightarrow e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept

Parsing using an LR(0) parser

- Basic idea: parser keeps track, simultaneously, of all possible productions that *could be matched* given what it's seen so far. When it sees a full production, match it.
- Maintain a *parse stack* that tells you what state you're in
 - Start in state 0
- In each state, look up in action table whether to:
 - *shift*: consume a token off the input; look for next state in goto table; push next state onto stack
 - *reduce*: match a production; pop off as many symbols from state stack as seen in production; look up where to go according to non-terminal we just matched; push next state onto stack
 - *accept*: terminate parse

Example

I) $P \rightarrow S$

II) $S \rightarrow x;S$

III) $S \rightarrow e$

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept

Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$?

Start with state 0

Example

I) $P \rightarrow S$

II) $S \rightarrow x;S$

III) $S \rightarrow e$

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept

Step	Parse Stack	Rem. Input	Parser Action
1	0	x;x;e	Shift(1)

Example

I) $P \rightarrow S$

II) $S \rightarrow x;S$

III) $S \rightarrow e$

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept

Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$?

Example

I) $P \rightarrow S$

II) $S \rightarrow x;S$

III) $S \rightarrow e$

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept

Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)

Example

I) $P \rightarrow S$

II) $S \rightarrow x;S$

III) $S \rightarrow e$

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
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	2	1		3		4	Shift
	3						Reduce 3
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	5						Accept

Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$?

Example

- I) $P \rightarrow S$
- II) $S \rightarrow x;S$
- III) $S \rightarrow e$

Input string
 $x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
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Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	x ;e	Shift(1)

Example

I) $P \rightarrow S$

II) $S \rightarrow x;S$

III) $S \rightarrow e$

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
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	2	1		3		4	Shift
	3						Reduce 3
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	5						Accept

Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$	Shift(1)
4	0 1 2 1	; e	?

Example

I) $P \rightarrow S$

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Input string

$x;x;e$

		Symbol					Action
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	1		2				Shift
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	5						Accept

Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$	Shift(1)
4	0 1 2 1	; e	Shift(2)

Example

I) $P \rightarrow S$

II) $S \rightarrow x;S$

III) $S \rightarrow e$

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
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	4						Reduce 2
	5						Accept

Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$	Shift(1)
4	0 1 2 1	$;e$	Shift(2)
5	0 1 2 1 2	e	?

Example

I) $P \rightarrow S$

II) $S \rightarrow x;S$

III) $S \rightarrow e$

Input string

$x;x;e$

		Symbol					Action
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	3						Reduce 3
	4						Reduce 2
	5						Accept

Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$	Shift(1)
4	0 1 2 1	$;e$	Shift(2)
5	0 1 2 1 2	e	Shift(3)

Example

I) $P \rightarrow S$

II) $S \rightarrow x;S$

III) $S \rightarrow e$

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
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	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept

Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$	Shift(1)
4	0 1 2 1	$;e$	Shift(2)
5	0 1 2 1 2	e	Shift(3)
6	0 1 2 1 2 3		?

Example

I) $P \rightarrow S$

II) $S \rightarrow x;S$

III) $S \rightarrow e$

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept

Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$	Shift(1)
4	0 1 2 1	$;e$	Shift(2)
5	0 1 2 1 2	e	Shift(3)
6	0 1 2 1 2 3		Reduce 3

Example

I) $P \rightarrow S$

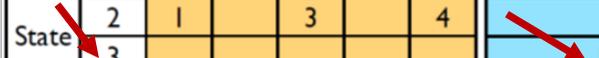
II) $S \rightarrow x;S$

III) $S \rightarrow e$ 

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept



Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$	Shift(1)
4	0 1 2 1	$;e$	Shift(2)
5	0 1 2 1 2	e	Shift(3)
6	0 1 2 1 2 3		Reduce 3
7	0 1 2 1 2		

- Look at rule III and pop 1 symbol of the stack because RHS of rule III has just 1 symbol

Example

I) $P \rightarrow S$

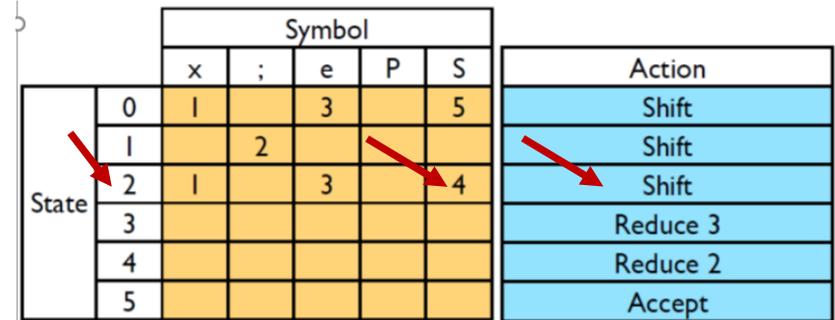
II) $S \rightarrow x;S$

III) $S \rightarrow e$ 

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept



Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$	Shift(1)
4	0 1 2 1	$;e$	Shift(2)
5	0 1 2 1 2	e	Shift(3)
6	0 1 2 1 2 3		Reduce 3
7	0 1 2 1 2		

- Now stack top has symbol 2 and LHS of rule III has S (imagine you saw S at input). Consult goto and action table.

Example

I) $P \rightarrow S$

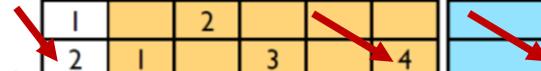
II) $S \rightarrow x;S$

III) $S \rightarrow e$ 

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept



Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$	Shift(1)
4	0 1 2 1	$;e$	Shift(2)
5	0 1 2 1 2	e	Shift(3)
6	0 1 2 1 2 3		Reduce 3 (shift(4))
7	0 1 2 1 2 4		

- Now stack top has symbol 2 and LHS of rule III has S (imagine you saw S at input). Consult goto and action table. Shift(4)

Example

I) $P \rightarrow S$

II) $S \rightarrow x;S$

III) $S \rightarrow e$

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept

Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$	Shift(1)
4	0 1 2 1	$;e$	Shift(2)
5	0 1 2 1 2	e	Shift(3)
6	0 1 2 1 2 3		Reduce 3 (shift(4))
7	0 1 2 1 2 4		?

- Now stack top has symbol 2 and LHS of rule III has S (imagine you saw S at input). Consult goto and action table. Shift(4)

Example

I) $P \rightarrow S$

II) $S \rightarrow x;S$

III) $S \rightarrow e$

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept

Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$	Shift(1)
4	0 1 2 1	$;e$	Shift(2)
5	0 1 2 1 2	e	Shift(3)
6	0 1 2 1 2 3		Reduce 3 (shift(4))
7	0 1 2 1 2 4		Reduce 2

Example

I) $P \rightarrow S$

II) $S \rightarrow x;S$

III) $S \rightarrow e$

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept

Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$	Shift(1)
4	0 1 2 1	$;e$	Shift(2)
5	0 1 2 1 2	e	Shift(3)
6	0 1 2 1 2 3		Reduce 3 (shift(4))
7	0 1 2 1 2 4		Reduce 2
8	0 1 2		

- Look at rule II and pop 3 symbols of the stack because RHS of rule II has 3 symbols

Example

I) $P \rightarrow S$

II) $S \rightarrow x;S$

III) $S \rightarrow e$

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept

Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$	Shift(1)
4	0 1 2 1	$;e$	Shift(2)
5	0 1 2 1 2	e	Shift(3)
6	0 1 2 1 2 3		Reduce 3 (shift(4))
7	0 1 2 1 2 4		Reduce 2
8	0 1 2		

- Now stack top has symbol 2 and LHS of rule II has S (imagine you saw S at input). Consult goto and action table.

Example

I) $P \rightarrow S$

II) $S \rightarrow x;S$

III) $S \rightarrow e$

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept

Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$	Shift(1)
4	0 1 2 1	$;e$	Shift(2)
5	0 1 2 1 2	e	Shift(3)
6	0 1 2 1 2 3		Reduce 3 (shift(4))
7	0 1 2 1 2 4		Reduce 2 (shift(4))
8	0 1 2 4		

- Now stack top has symbol 2 and LHS of rule II has S (imagine you saw S at input). Consult goto and action table. Shift(4)

Example

I) $P \rightarrow S$

II) $S \rightarrow x;S$

III) $S \rightarrow e$

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept

Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$	Shift(1)
4	0 1 2 1	$;e$	Shift(2)
5	0 1 2 1 2	e	Shift(3)
6	0 1 2 1 2 3		Reduce 3 (shift(4))
7	0 1 2 1 2 4		Reduce 2 (shift(4))
8	0 1 2 4		?

Example

- I) $P \rightarrow S$
- II) $S \rightarrow x;S$
- III) $S \rightarrow e$

Input string
 $x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept

Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$	Shift(1)
4	0 1 2 1	$;e$	Shift(2)
5	0 1 2 1 2	e	Shift(3)
6	0 1 2 1 2 3		Reduce 3 (shift(4))
7	0 1 2 1 2 4		Reduce 2 (shift(4))
8	0 1 2 4		Reduce 2

Example

I) $P \rightarrow S$

II) $S \rightarrow x;S$

III) $S \rightarrow e$

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept

Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$	Shift(1)
4	0 1 2 1	$;e$	Shift(2)
5	0 1 2 1 2	e	Shift(3)
6	0 1 2 1 2 3		Reduce 3 (shift(4))
7	0 1 2 1 2 4		Reduce 2 (shift(4))
8	0 1 2 4		Reduce 2
9	0		

Example

I) $P \rightarrow S$

II) $S \rightarrow x;S$

III) $S \rightarrow e$

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept

Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$	Shift(1)
4	0 1 2 1	$;e$	Shift(2)
5	0 1 2 1 2	e	Shift(3)
6	0 1 2 1 2 3		Reduce 3 (shift(4))
7	0 1 2 1 2 4		Reduce 2 (shift(4))
8	0 1 2 4		Reduce 2 (shift(5))
9	0 5		

Example

I) $P \rightarrow S$

II) $S \rightarrow x;S$

III) $S \rightarrow e$

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept

Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$	Shift(1)
4	0 1 2 1	$;e$	Shift(2)
5	0 1 2 1 2	e	Shift(3)
6	0 1 2 1 2 3		Reduce 3 (shift(4))
7	0 1 2 1 2 4		Reduce 2 (shift(4))
8	0 1 2 4		Reduce 2 (shift(5))
9	0 5		?

Example

I) $P \rightarrow S$

II) $S \rightarrow x;S$

III) $S \rightarrow e$

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept

Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$	Shift(1)
4	0 1 2 1	$;e$	Shift(2)
5	0 1 2 1 2	e	Shift(3)
6	0 1 2 1 2 3		Reduce 3 (shift(4))
7	0 1 2 1 2 4		Reduce 2 (shift(4))
8	0 1 2 4		Reduce 2 (shift(5))
9	0 5		Accept

Example

I) $P \rightarrow S$

Input string

II) $S \rightarrow x;S$

|x;x;e

III) $S \rightarrow e$

← Initial scan pointer

Step	Parser Action
1	Shift(1)
2	Shift(2)
3	Shift(1)
4	Shift(2)
5	Shift(3)
6	Reduce 3 (shift(4))
7	Reduce 2 (shift(4))
8	Reduce 2 (shift(5))
9	Accept

Example

I) $P \rightarrow S$

Input string

II) $S \rightarrow x;S$

|x;x;e

III) $S \rightarrow e$

← Initial scan pointer

Step	Parser Action
1	Shift(1)
2	Shift(2)
3	Shift(1)
4	Shift(2)
5	Shift(3)
6	Reduce 3 (shift(4))
7	Reduce 2 (shift(4))
8	Reduce 2 (shift(5))
9	Accept

x | ; x ; e

Example

I) $P \rightarrow S$

Input string

II) $S \rightarrow x;S$

|x;x;e

III) $S \rightarrow e$

← Initial scan pointer

Step	Parser Action
1	Shift(1)
2	Shift(2)
3	Shift(1)
4	Shift(2)
5	Shift(3)
6	Reduce 3 (shift(4))
7	Reduce 2 (shift(4))
8	Reduce 2 (shift(5))
9	Accept

x ; | x ; e

Example

I) $P \rightarrow S$

Input string

II) $S \rightarrow x;S$

|x;x;e

III) $S \rightarrow e$

← Initial scan pointer

Step	Parser Action
1	Shift(1)
2	Shift(2)
3	Shift(1)
4	Shift(2)
5	Shift(3)
6	Reduce 3 (shift(4))
7	Reduce 2 (shift(4))
8	Reduce 2 (shift(5))
9	Accept

x ; x | ; e

Example

I) $P \rightarrow S$

Input string

II) $S \rightarrow x;S$

|x;x;e

III) $S \rightarrow e$

← Initial scan pointer

Step	Parser Action
1	Shift(1)
2	Shift(2)
3	Shift(1)
4	Shift(2)
5	Shift(3)
6	Reduce 3 (shift(4))
7	Reduce 2 (shift(4))
8	Reduce 2 (shift(5))
9	Accept

x ; x ; | e

Example

I) $P \rightarrow S$

Input string

II) $S \rightarrow x;S$

|x;x;e

III) $S \rightarrow e$

← Initial scan pointer

Step	Parser Action
1	Shift(1)
2	Shift(2)
3	Shift(1)
4	Shift(2)
5	Shift(3)
6	Reduce 3 (shift(4))
7	Reduce 2 (shift(4))
8	Reduce 2 (shift(5))
9	Accept

x ; x ; e |

Example

I) $P \rightarrow S$

Input string

II) $S \rightarrow x;S$

|x;x;e

III) $S \rightarrow e$

← Initial scan pointer

Step	Parser Action
1	Shift(1)
2	Shift(2)
3	Shift(1)
4	Shift(2)
5	Shift(3)
6	Reduce 3 (shift(4))
7	Reduce 2 (shift(4))
8	Reduce 2 (shift(5))
9	Accept

x ; x ; e|

S
|
x ; x ; e

Example

I) $P \rightarrow S$

Input string

II) $S \rightarrow x;S$

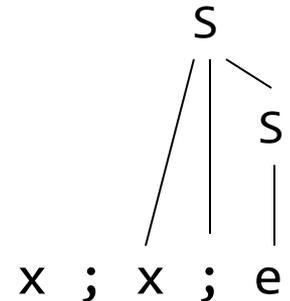
|x;x;e

III) $S \rightarrow e$

← Initial scan pointer

Step	Parser Action
1	Shift(1)
2	Shift(2)
3	Shift(1)
4	Shift(2)
5	Shift(3)
6	Reduce 3 (shift(4))
7	Reduce 2 (shift(4))
8	Reduce 2 (shift(5))
9	Accept

x ; x ; S |



Example

I) $P \rightarrow S$

Input string

II) $S \rightarrow x;S$

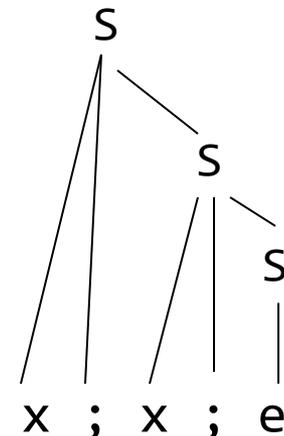
|x;x;e

III) $S \rightarrow e$

← Initial scan pointer

Step	Parser Action
1	Shift(1)
2	Shift(2)
3	Shift(1)
4	Shift(2)
5	Shift(3)
6	Reduce 3 (shift(4))
7	Reduce 2 (shift(4))
8	Reduce 2 (shift(5))
9	Accept

x ; S |



Example

I) P → S

Input string

II) S → x;S

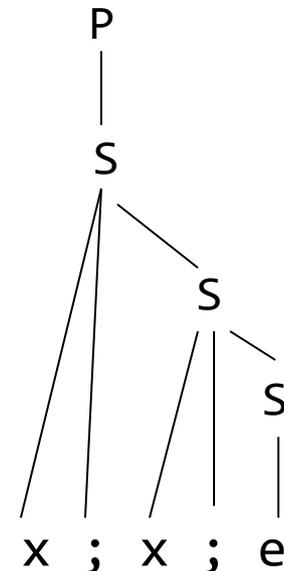
| x; x; e

III) S → e

← Initial scan pointer

Step	Parser Action
1	Shift(1)
2	Shift(2)
3	Shift(1)
4	Shift(2)
5	Shift(3)
6	Reduce 3 (shift(4))
7	Reduce 2 (shift(4))
8	Reduce 2 (shift(5))
9	Accept

S |



Shift-Reduce Parsing

The LR parsing seen previously is an example of shift-reduce parsing

- When do we *shift* and when do we *reduce*?
 - *How do we construct goto and action tables?*

Concept: configuration / item

- Configuration or item has a form:

$$A \rightarrow X_1 \dots X_i \bullet X_{i+1} \dots X_j$$

- Dot \bullet can appear anywhere
- Represents a production part of which has been matched (what is to the left of Dot)
- LR parsers keep track of multiple (all) productions that can be potentially matched
 - We need a *configuration set*

Concept: configuration / item

- E.g. configuration set

```
stmt -> ID • := expr
stmt -> ID • : stmt
stmt -> ID •
```

Corresponding to productions:

```
stmt -> ID := expr
stmt -> ID : stmt
stmt -> ID
```

- Dot at the **extreme left** of RHS of a production denotes that production is **predicted**
- Dot at the **extreme right** of RHS of a production denotes that production is **recognized**
- if Dot precedes a Non-Terminal in a configuration set, more configurations need to be added to the set

Concept: closure

- For each configuration in the configuration set,
 $A \rightarrow \alpha \bullet B \gamma$, where B is a non-terminal,
 - 1 add configurations of the form:
 $B \rightarrow \bullet \delta$
 - 2 if the addition introduces a configuration with Dot behind a new non-Terminal N , add all configurations having the form $N \rightarrow \bullet \epsilon$
Repeat 2 when another new non-terminal is introduced and so on..

Concept: closure

➤ E.g. closure $\{S \rightarrow \bullet E \$\}$

\downarrow
Non-terminal
↙
 $S \rightarrow \bullet E \$$

Grammar

$S \rightarrow E \$$

$E \rightarrow E+T \mid T$

$T \rightarrow ID \mid (E)$

Concept: closure

➤ E.g. closure $\{S \rightarrow \bullet E \$\}$

↓

↙ Non-terminal

$S \rightarrow \bullet E \$$
 $E \rightarrow \bullet E + T$

Grammar

$S \rightarrow E \$$
 $E \rightarrow E + T \mid T$
 $T \rightarrow ID \mid (E)$

Concept: closure

➤ E.g. closure $\{S \rightarrow \bullet E \$\}$



Non-terminal

$S \rightarrow \bullet E \$$
 $E \rightarrow \bullet E + T$
 $E \rightarrow \bullet T$

Grammar

$S \rightarrow E \$$
 $E \rightarrow E + T \mid T$
 $T \rightarrow ID \mid (E)$

Concept: closure

➤ E.g. closure $\{S \rightarrow \bullet E\$ \}$



$S \rightarrow \bullet E\$$

$E \rightarrow \bullet E+T$

$E \rightarrow \bullet T$

New Non-terminal

Grammar

$S \rightarrow E\$$

$E \rightarrow E+T \mid T$

$T \rightarrow ID \mid (E)$

Concept: closure

➤ E.g. closure $\{S \rightarrow \bullet E \$\}$



$S \rightarrow \bullet E \$$

$E \rightarrow \bullet E + T$

$E \rightarrow \bullet T$

$T \rightarrow \bullet ID$

New Non-terminal

Grammar

$S \rightarrow E \$$

$E \rightarrow E + T \mid T$

$T \rightarrow ID \mid (E)$

Concept: closure

➤ E.g. closure $\{S \rightarrow \bullet E \$\}$



$S \rightarrow \bullet E \$$
 $E \rightarrow \bullet E + T$
 $E \rightarrow \bullet T$ ← New Non-terminal
 $T \rightarrow \bullet ID$
 $T \rightarrow \bullet (E)$

Grammar

$S \rightarrow E \$$
 $E \rightarrow E + T \mid T$
 $T \rightarrow ID \mid (E)$

Concept: closure

➤ E.g. closure $\{S \rightarrow \bullet E\$ \}$


$$\left[\begin{array}{l} S \rightarrow \bullet E\$ \\ E \rightarrow \bullet E+T \\ E \rightarrow \bullet T \\ T \rightarrow \bullet ID \\ T \rightarrow \bullet (E) \end{array} \right]$$

Grammar

$S \rightarrow E\$$

$E \rightarrow E+T \mid T$

$T \rightarrow ID \mid (E)$

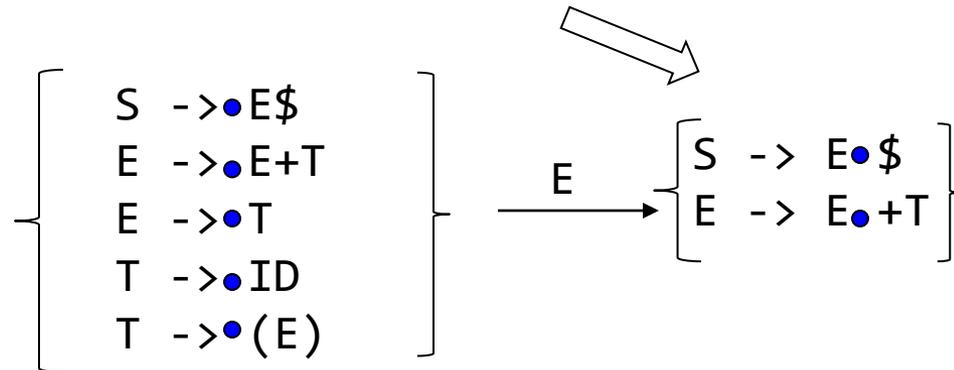
Concept: successor

- E.g. successor ($\{S \rightarrow \bullet E \$\}$, **E**)

Grammar

$$S \rightarrow E \$$$

$$E \rightarrow E + T \mid T$$

$$T \rightarrow ID \mid (E)$$


- Consider all symbols that are to the immediate right of Dot and compute respective successors
 - You must compute closure of successor before finalizing items in successor

Concept: CFSM

- Each configuration set becomes a state
- The symbol used as input for computing the successor becomes the transition
- Configuration-set finite state machine (CFSM)
 - The state diagram obtained after computing the chain of all successors (for all symbols) starting from the configuration involving the first production

Example: CFISM

Start with a configuration for the first production

$P \rightarrow \bullet S$

Grammar

$P \rightarrow S$

$S \rightarrow x; S$

$S \rightarrow e$

Example: CFISM

Compute closure

$P \rightarrow \bullet S$ ← Non-terminal

Grammar

$P \rightarrow S$

$S \rightarrow x; S$

$S \rightarrow e$

Example: CFISM

Add item

$P \rightarrow \bullet S$

$S \rightarrow \bullet x; S$

Grammar

$P \rightarrow S$

$S \rightarrow x; S$

$S \rightarrow e$

Example: CFMSM

Add item

$P \rightarrow \bullet S$

$S \rightarrow \bullet x; S$

$S \rightarrow \bullet e$

Grammar

$P \rightarrow S$

$S \rightarrow x; S$

$S \rightarrow e$

Example: CFMSM

No new non-terminal before Dot. This becomes a state in CFMSM

P- > • S
S- > • x; S
S- > • e

state 0

Grammar

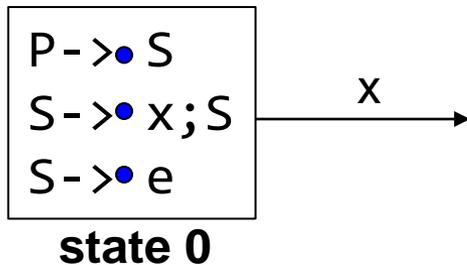
P - > S

S - > x; S

S - > e

Example: CFMSM

Compute successor (of state 0) under symbol x



Grammar

P - > S

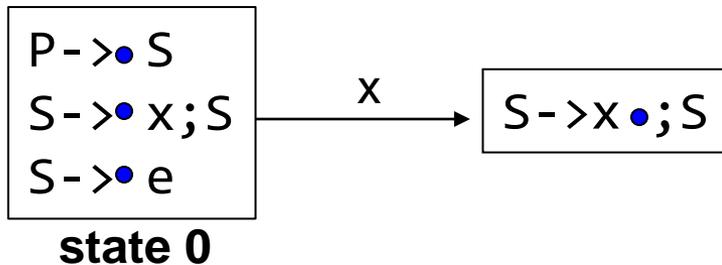
S - > x ; S

S - > e

Consider items (in state 0), where x is to the immediate right of Dot.
Advance Dot by one symbol.

Example: CFMSM

Compute successor (of state 0) under symbol x



Grammar

$P \rightarrow S$

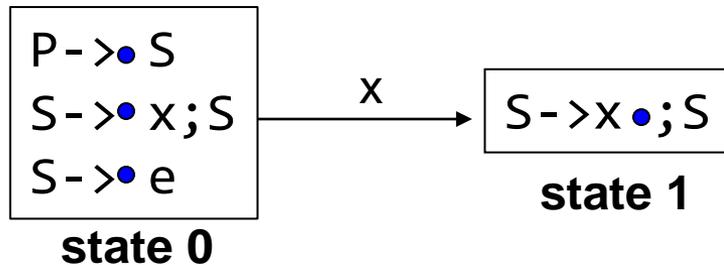
$S \rightarrow x; S$

$S \rightarrow e$

Consider items (in state 0), where x is to the immediate right of Dot.
Advance Dot by one symbol.

Example: CFISM

Compute successor (of state 0) under symbol x



Grammar

$P \rightarrow S$

$S \rightarrow x; S$

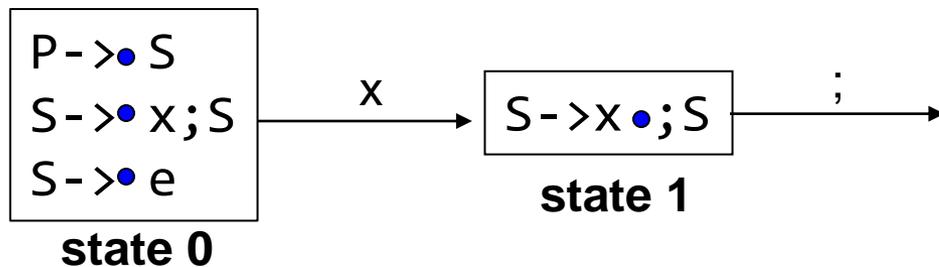
$S \rightarrow e$

Consider items (in state 0), where x is to the immediate right of Dot.
Advance Dot by one symbol.

No non-terminals immediately after Dot in the successor. So, no configurations get added. Successor becomes another state in CFISM.

Example: CFISM

Compute successor (of state 1) under symbol ;



Grammar

$P \rightarrow S$

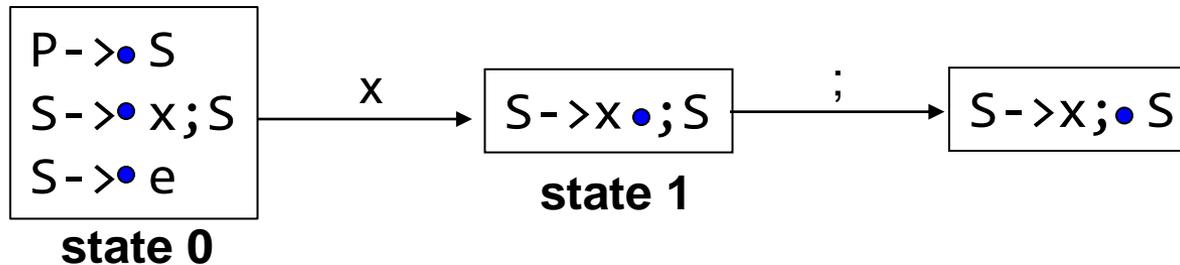
$S \rightarrow x;S$

$S \rightarrow e$

Consider items (in state 1), where ; is to the immediate right of Dot.
Advance Dot by one symbol.

Example: CFMSM

Compute successor (of state 1) under symbol ;



Grammar

$P \rightarrow S$

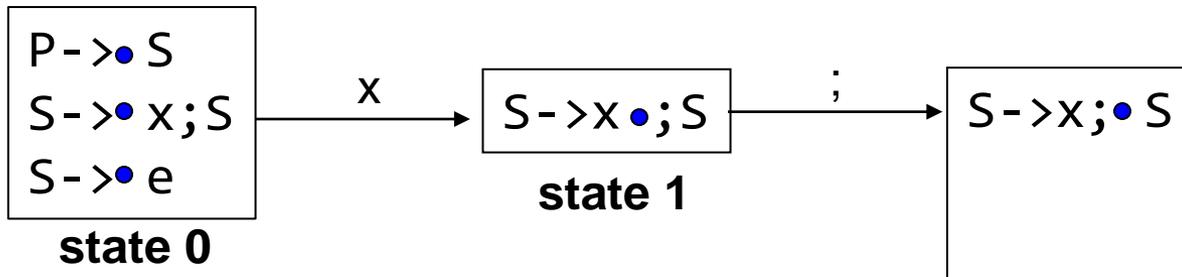
$S \rightarrow x; S$

$S \rightarrow e$

Consider items (in state 1), where ; is to the immediate right of Dot.
Advance Dot by one symbol.

Example: CFMSM

Compute successor (of state 1) under symbol ;



Grammar

$P \rightarrow S$

$S \rightarrow x;S$

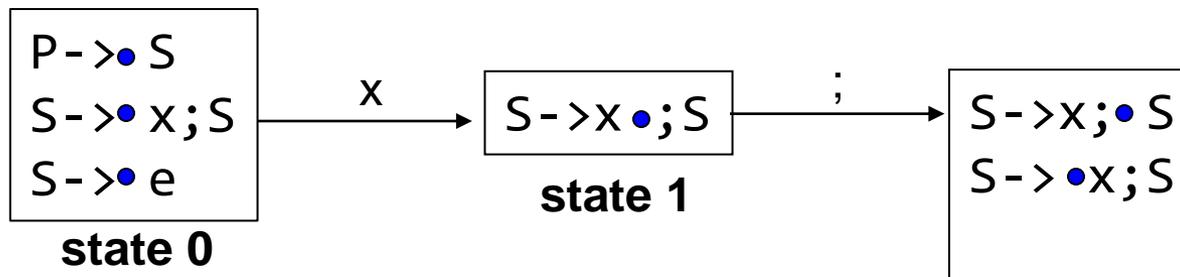
$S \rightarrow e$

Consider items (in state 1), where ; is to the immediate right of Dot.
Advance Dot by one symbol.

There is a non-terminal immediately after Dot in the successor of state 1. So, add configurations.

Example: CFMSM

Compute successor (of state 1) under symbol ;



Grammar

$P \rightarrow S$

$S \rightarrow x; S$

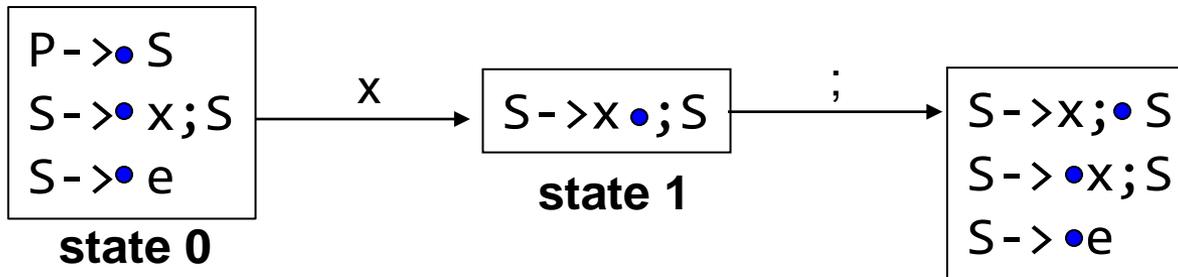
$S \rightarrow e$

Consider items (in state 1), where ; is to the immediate right of Dot.
Advance Dot by one symbol.

There is a non-terminal immediately after Dot in the successor of state 1. So, add configurations.

Example: CFMSM

Compute successor (of state 1) under symbol ;



Grammar

$P \rightarrow S$

$S \rightarrow x; S$

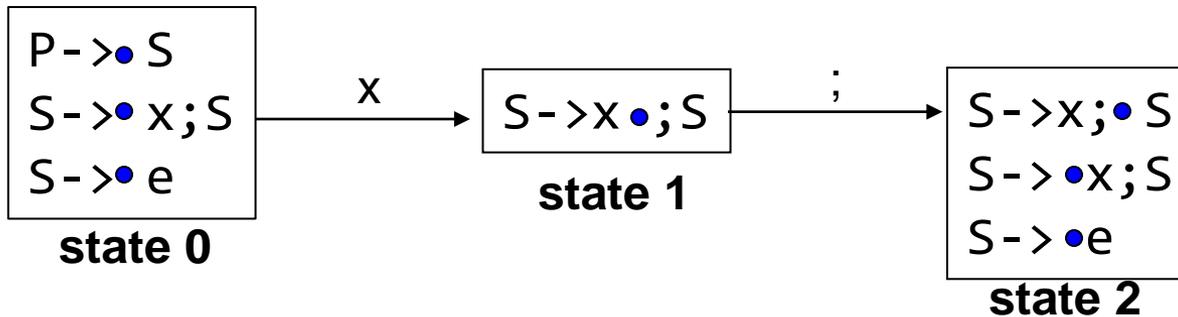
$S \rightarrow e$

Consider items (in state 1), where ; is to the immediate right of Dot.
Advance Dot by one symbol.

There is a non-terminal immediately after Dot in the successor of state 1. So, add configurations.

Example: CFSM

Compute successor (of state 1) under symbol ;



Grammar

$P \rightarrow S$

$S \rightarrow x;S$

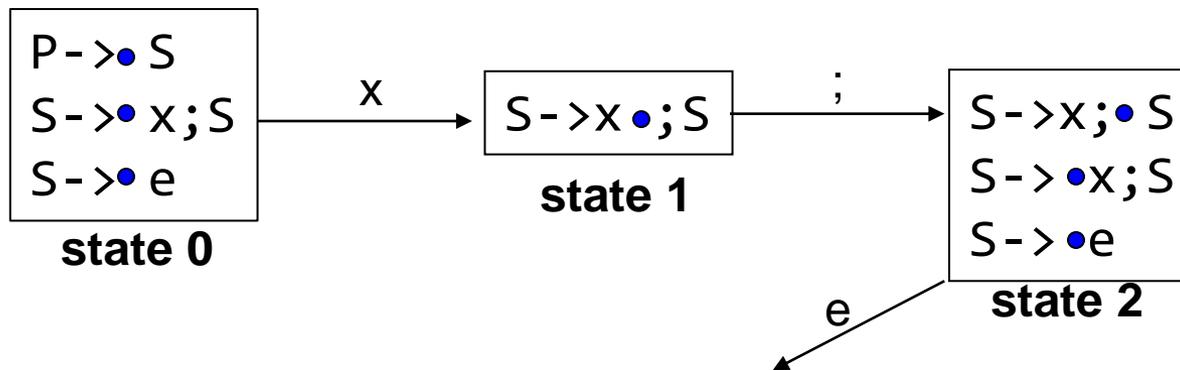
$S \rightarrow e$

Consider items (in state 1), where ; is to the immediate right of Dot.
Advance Dot by one symbol.

There is a non-terminal immediately after Dot in the successor of state 1. So, add configurations. **No more items to be added.**
Becomes another state in CFSM.

Example: CFMSM

Compute successor (of state 2) under symbol e



Grammar

$P \rightarrow S$

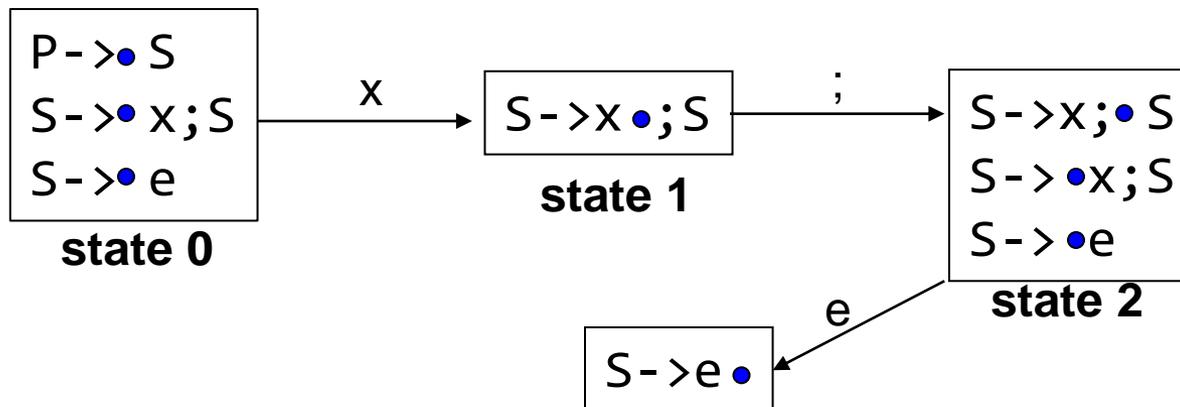
$S \rightarrow x; S$

$S \rightarrow e$

Consider items (in state 2), where e is to the immediate right of Dot.
Advance Dot by one symbol.

Example: CFMSM

Compute successor (of state 2) under symbol e



Grammar

$P \rightarrow S$

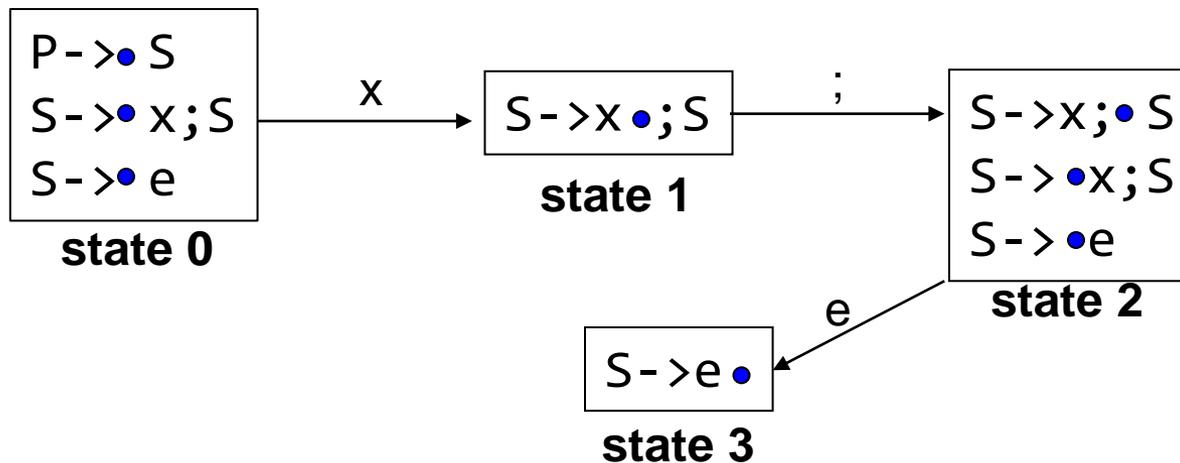
$S \rightarrow x; S$

$S \rightarrow e$

Consider items (in state 2), where e is to the immediate right of Dot.
Advance Dot by one symbol.

Example: CFSM

Compute successor (of state 2) under symbol e



Grammar

$P \rightarrow S$

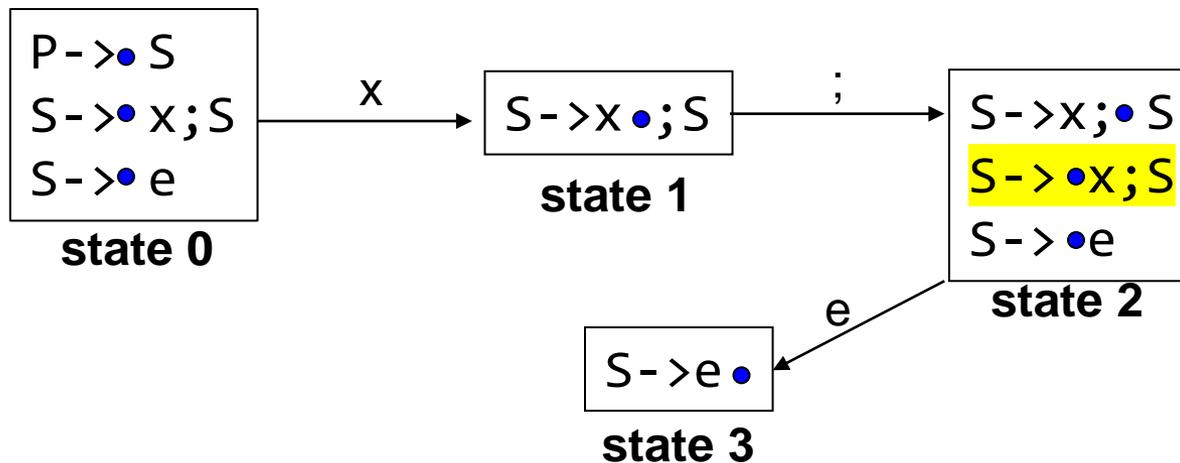
$S \rightarrow x; S$

$S \rightarrow e$

Consider items (in state 2), where e is to the immediate right of Dot. Advance Dot by one symbol. **No more items to be added. Becomes another state in CFSM.**

Example: CFMSM

Compute successor (of state 2) under symbol x



Grammar

$P \rightarrow S$

$S \rightarrow x;S$

$S \rightarrow e$

Consider items (in state 2), where x is to the immediate right of Dot.
Advance Dot by one symbol.

Example: CFMSM

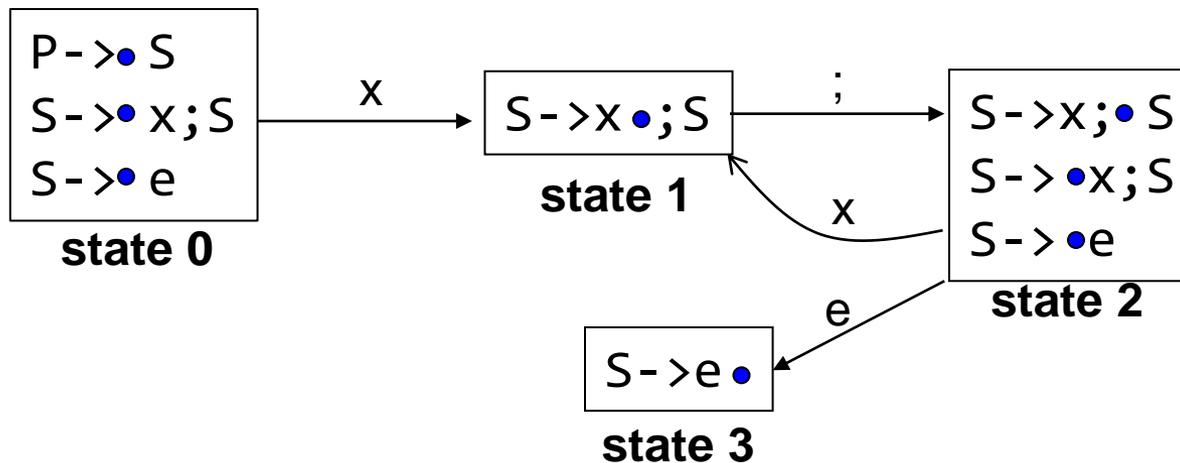
Grammar

$P \rightarrow S$

$S \rightarrow x;S$

$S \rightarrow e$

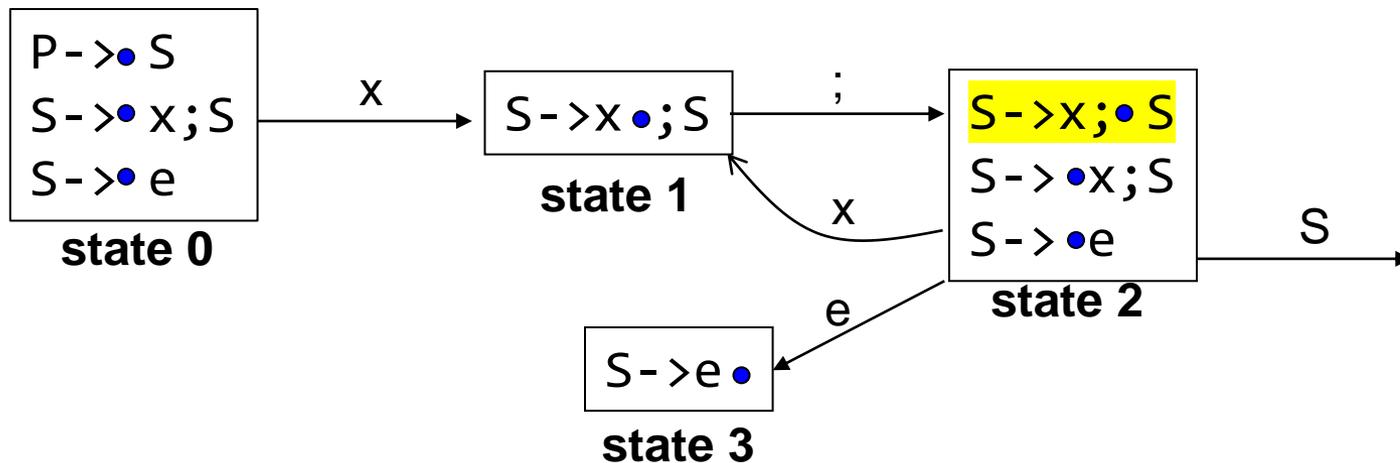
Compute successor (of state 2) under symbol x



Consider items (in state 2), where x is to the immediate right of Dot.
Advance Dot by one symbol.

Example: CFMSM

Compute successor (of state 2) under symbol S



Grammar

$P \rightarrow S$

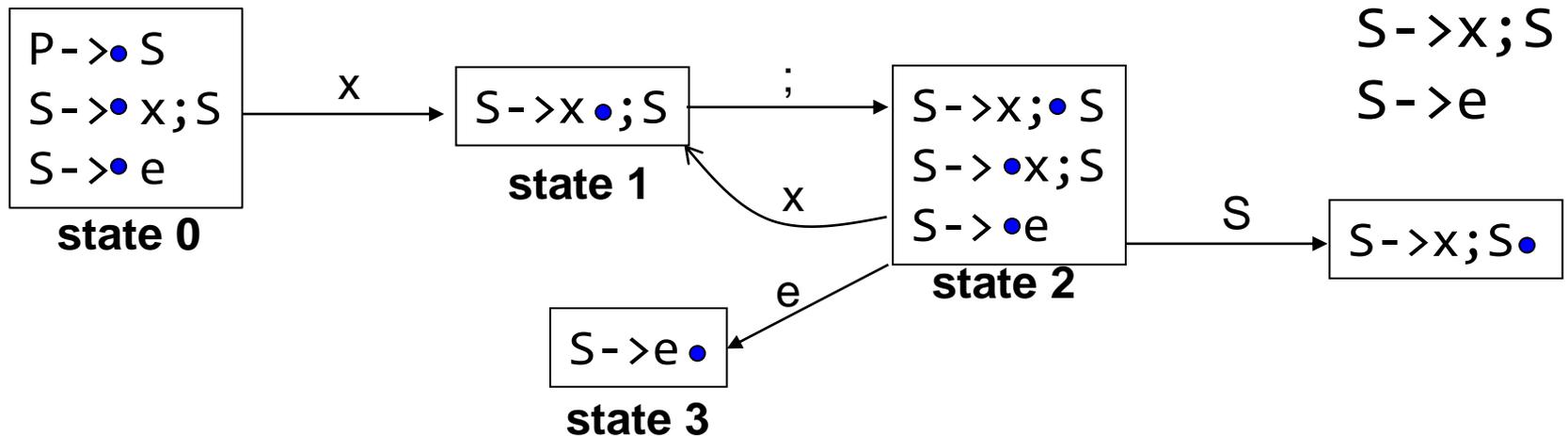
$S \rightarrow x; S$

$S \rightarrow e$

Consider items (in state 2), where S is to the immediate right of Dot.
Advance Dot by one symbol.

Example: CFMSM

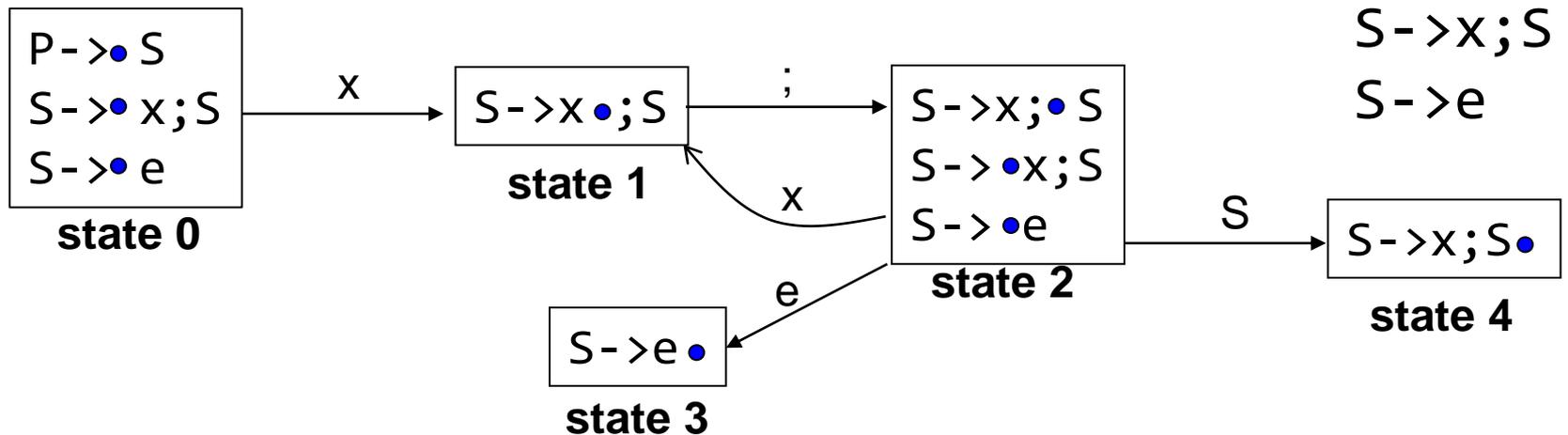
Compute successor (of state 2) under symbol S



Consider items (in state 2), where S is to the immediate right of Dot.
Advance Dot by one symbol.

Example: CFSM

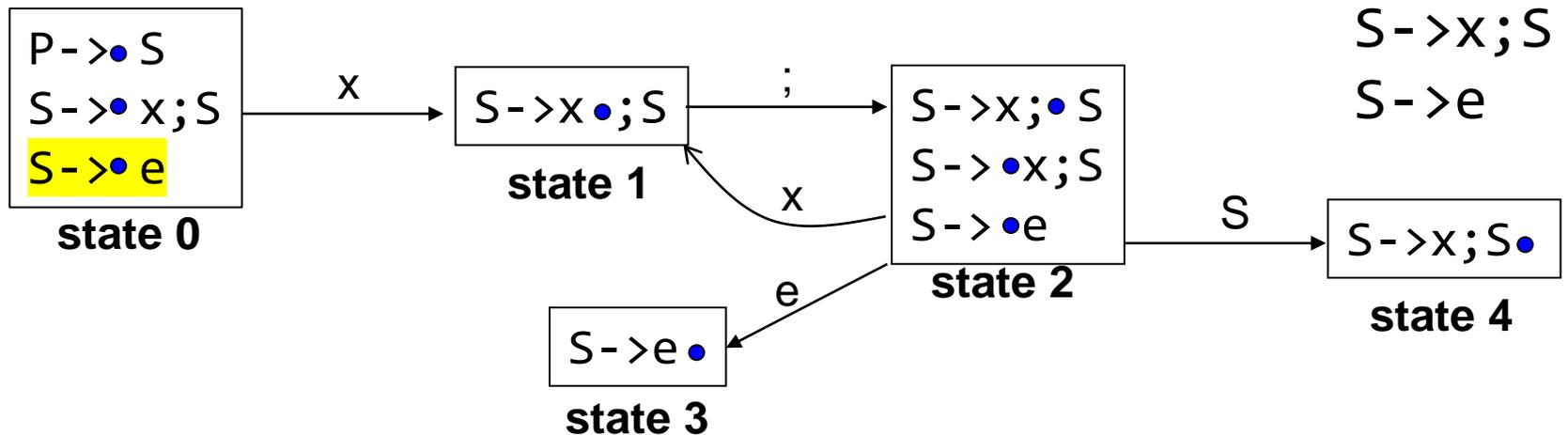
Compute successor (of state 2) under symbol S



Consider items (in state 2), where S is to the immediate right of Dot. Advance Dot by one symbol. **No more items to be added. Becomes another state in CFSM.**

Example: CFMSM

Compute successor (of state 0) under symbol e



Grammar

$P \rightarrow S$

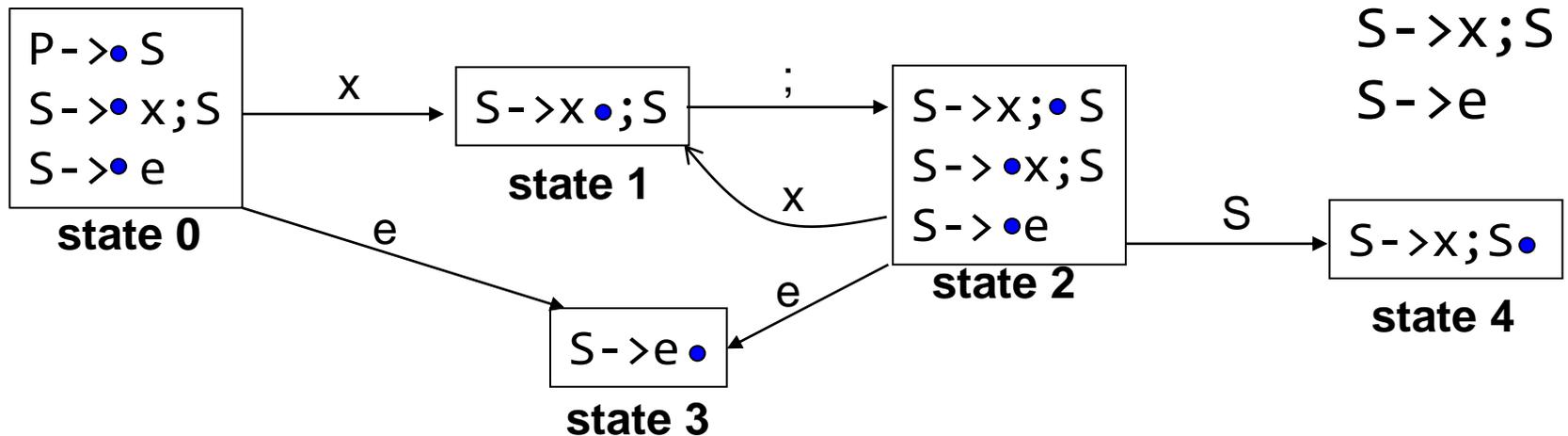
$S \rightarrow x; S$

$S \rightarrow e$

Consider items (in state 0), where e is to the immediate right of Dot.
Advance Dot by one symbol.

Example: CFMSM

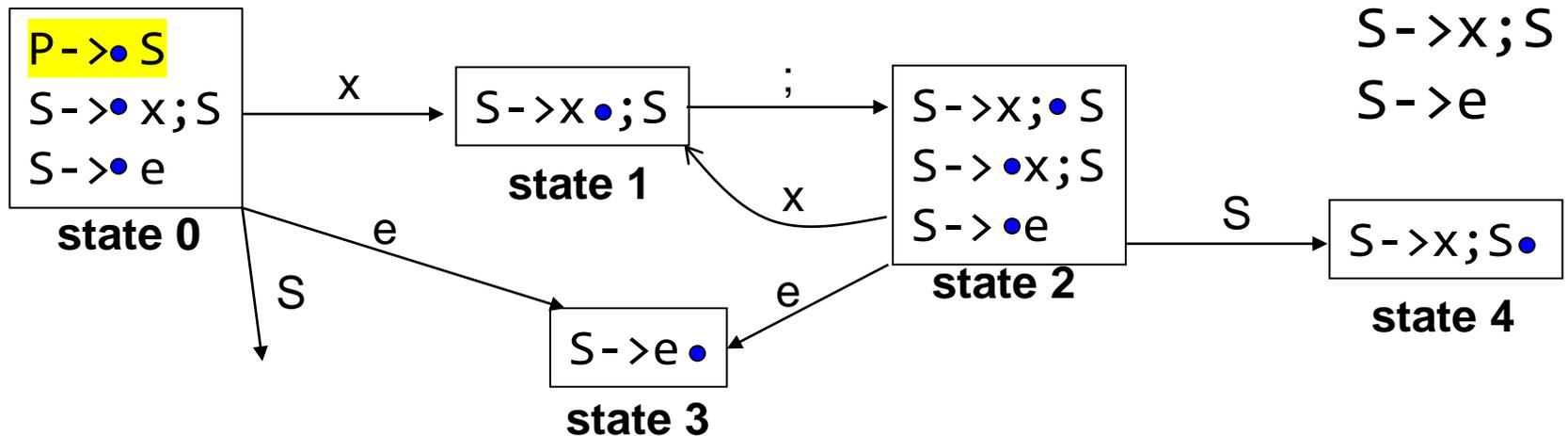
Compute successor (of state 0) under symbol e



Consider items (in state 0), where e is to the immediate right of Dot.
Advance Dot by one symbol.

Example: CFMSM

Compute successor (of state 0) under symbol S



Grammar

$P \rightarrow S$

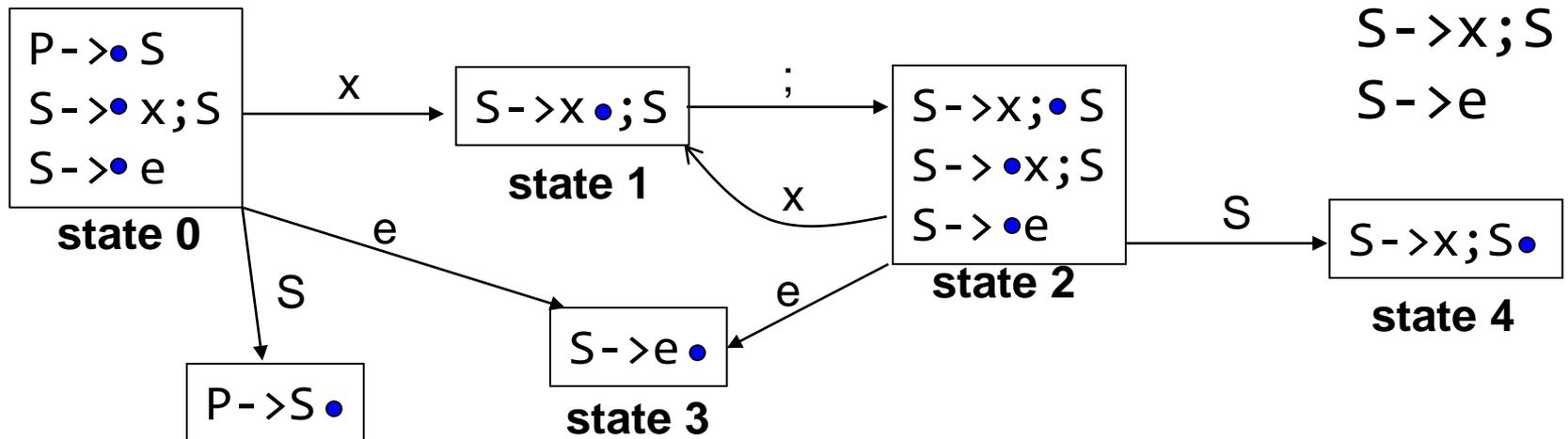
$S \rightarrow x; S$

$S \rightarrow e$

Consider items (in state 0), where S is to the immediate right of Dot.
Advance Dot by one symbol.

Example: CFMSM

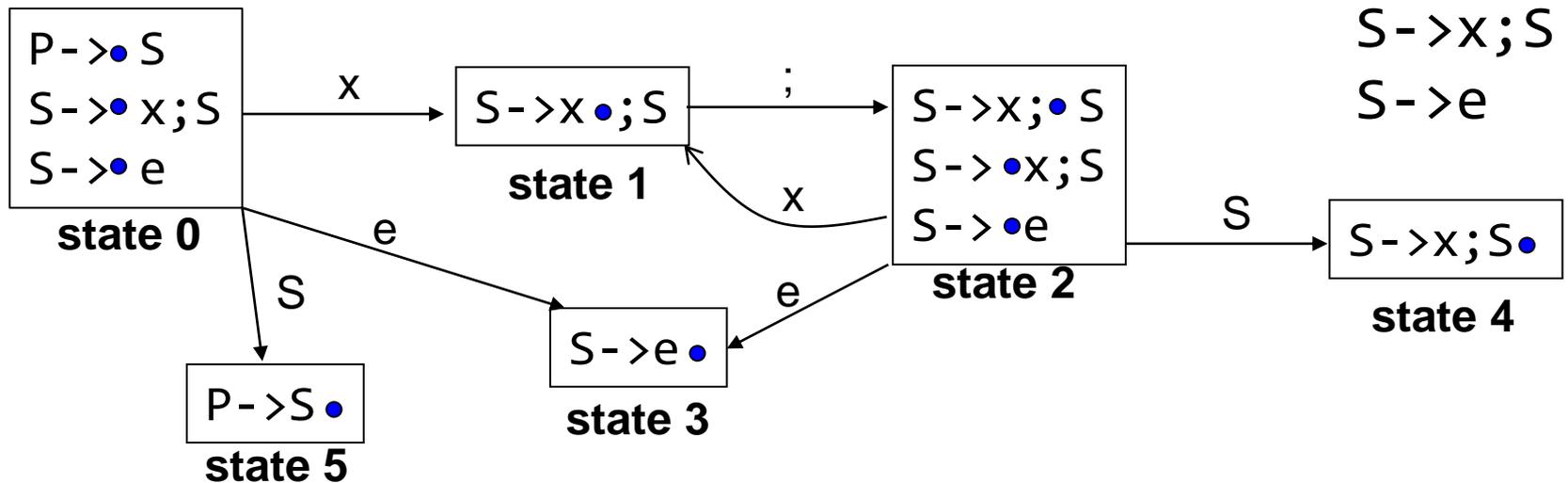
Compute successor (of state 0) under symbol S



Consider items (in state 0), where S is to the immediate right of Dot.
Advance Dot by one symbol.

Example: CFMSM

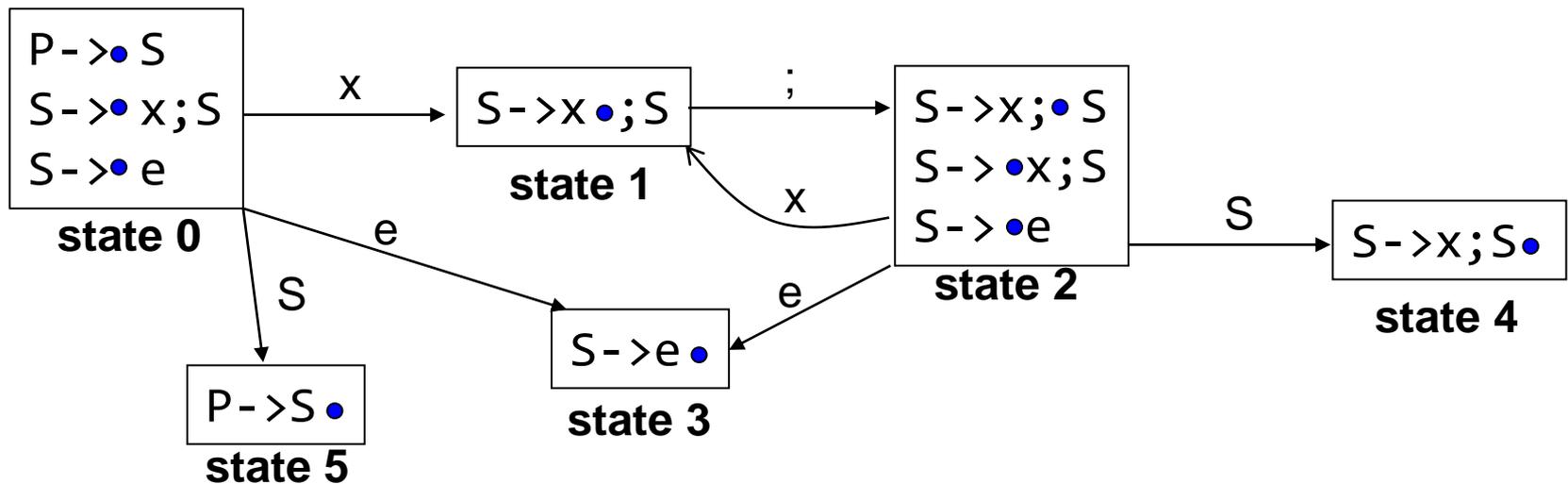
Compute successor (of state 0) under symbol S



Consider items (in state 0), where S is to the immediate right of Dot.
Advance Dot by one symbol. **Cannot expand CFMSM anymore.**

Example: CFMSM

- All states with Dot at extreme right become *reduce* states



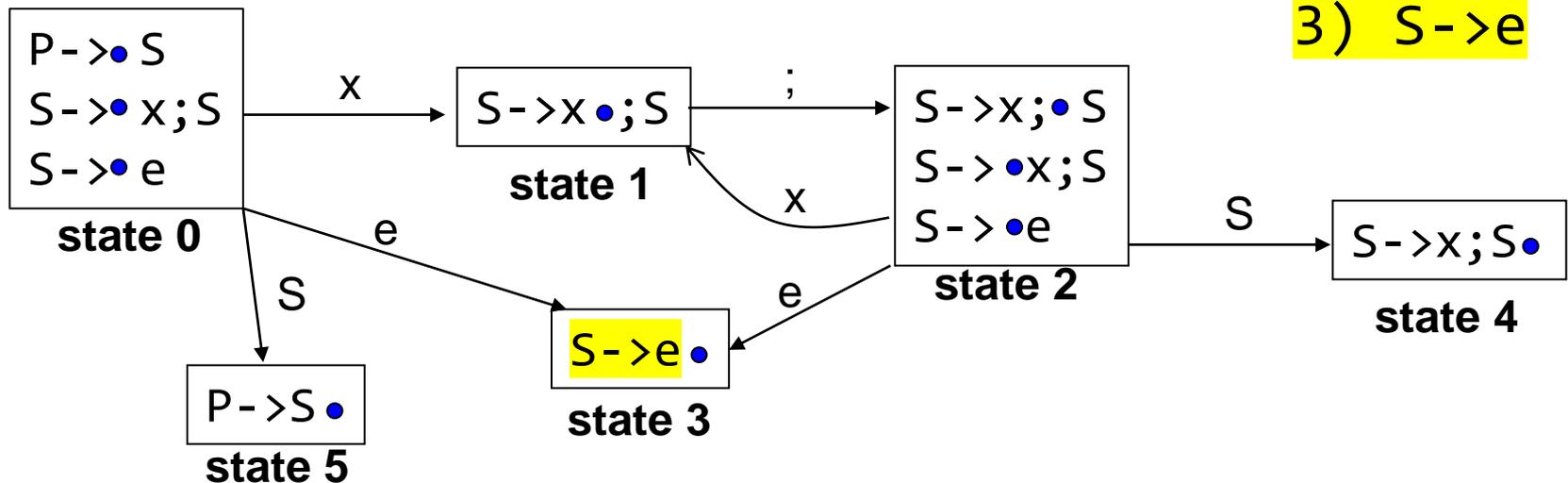
Example: CFMSM

- All states with Dot at extreme right become *reduce* states

Reduce 3

Grammar

- 1) $P \rightarrow S$
- 2) $S \rightarrow x;S$
- 3) $S \rightarrow e$



Example: CFMSM

- All states with Dot at extreme right become *reduce* states

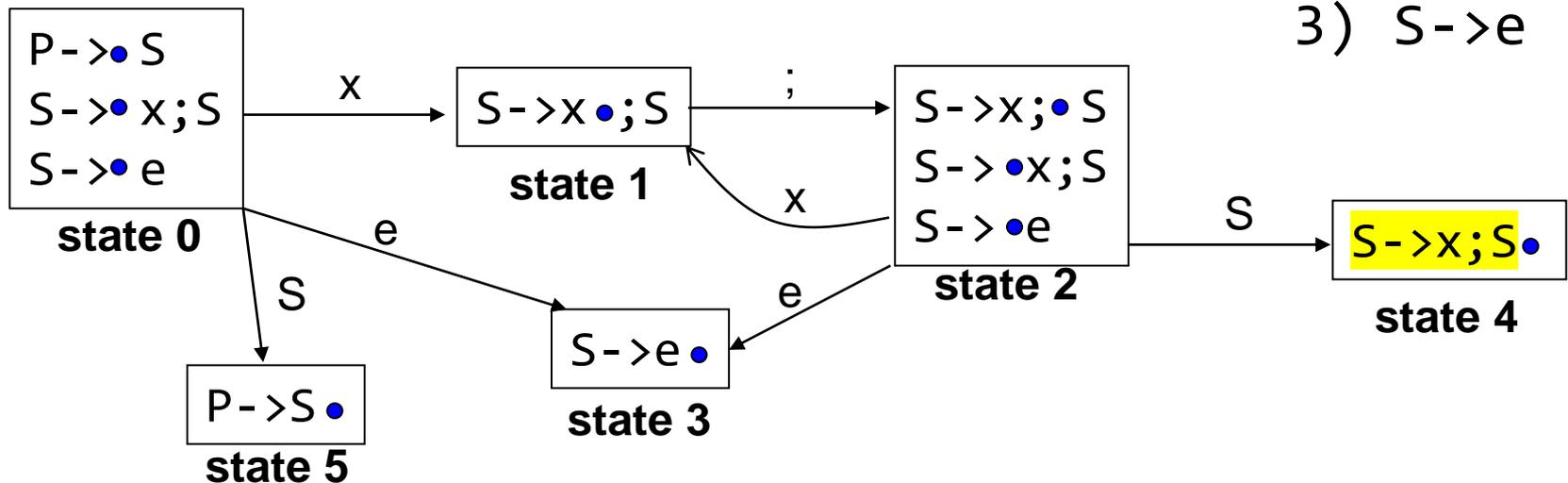
Reduce 2

Grammar

1) $P \rightarrow S$

2) $S \rightarrow x;S$

3) $S \rightarrow e$



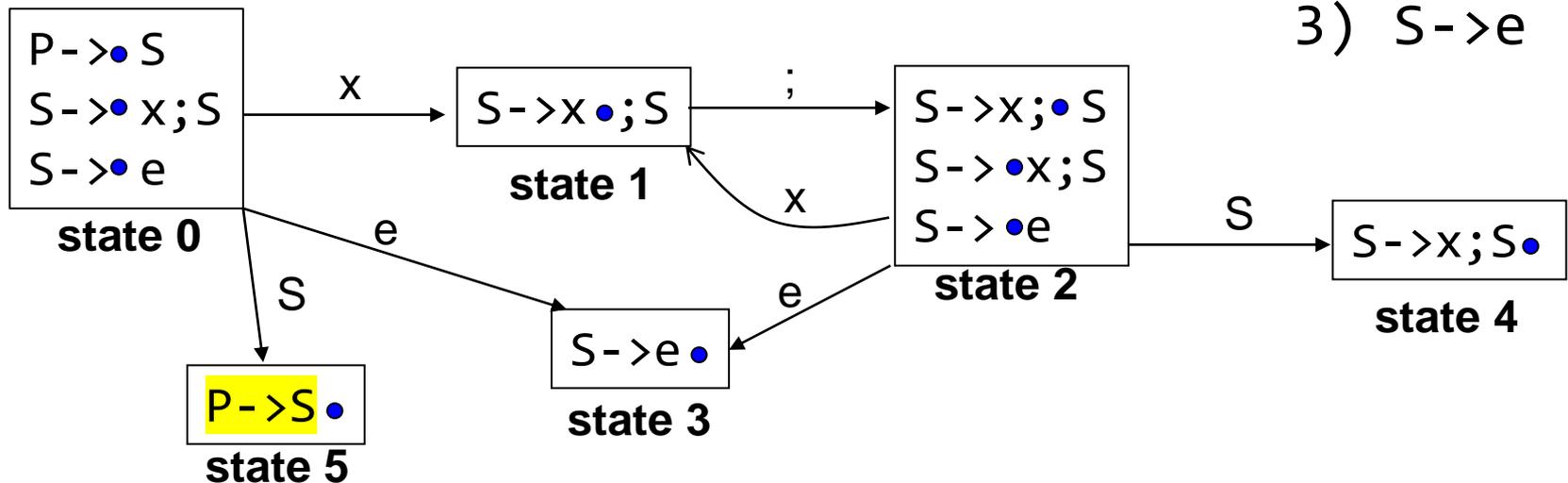
Example: CFMSM

- All states with Dot at extreme right become *reduce* states

Accept

Grammar

- 1) $P \rightarrow S$
- 2) $S \rightarrow x; S$
- 3) $S \rightarrow e$

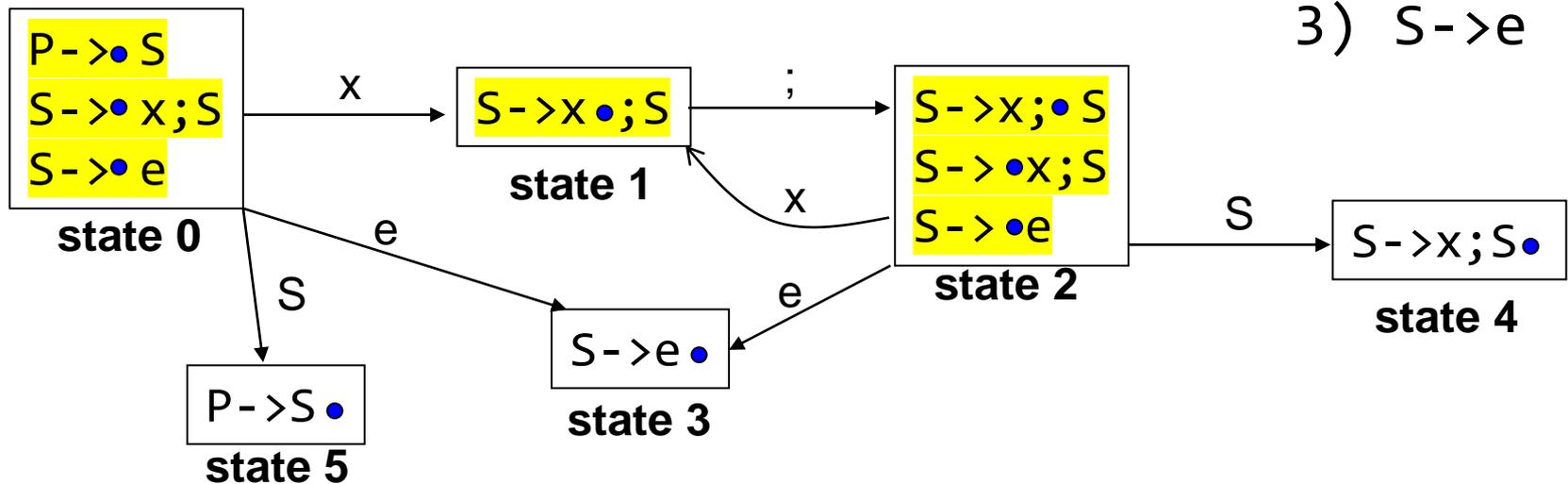


Example: CFMSM

- Remaining states become *shift* states

Grammar

- 1) $P \rightarrow S$
- 2) $S \rightarrow x; S$
- 3) $S \rightarrow e$



Conflicts

- What happens when a state has Dot at the extreme right for one item and in the middle for other items?

Shift-reduce conflict

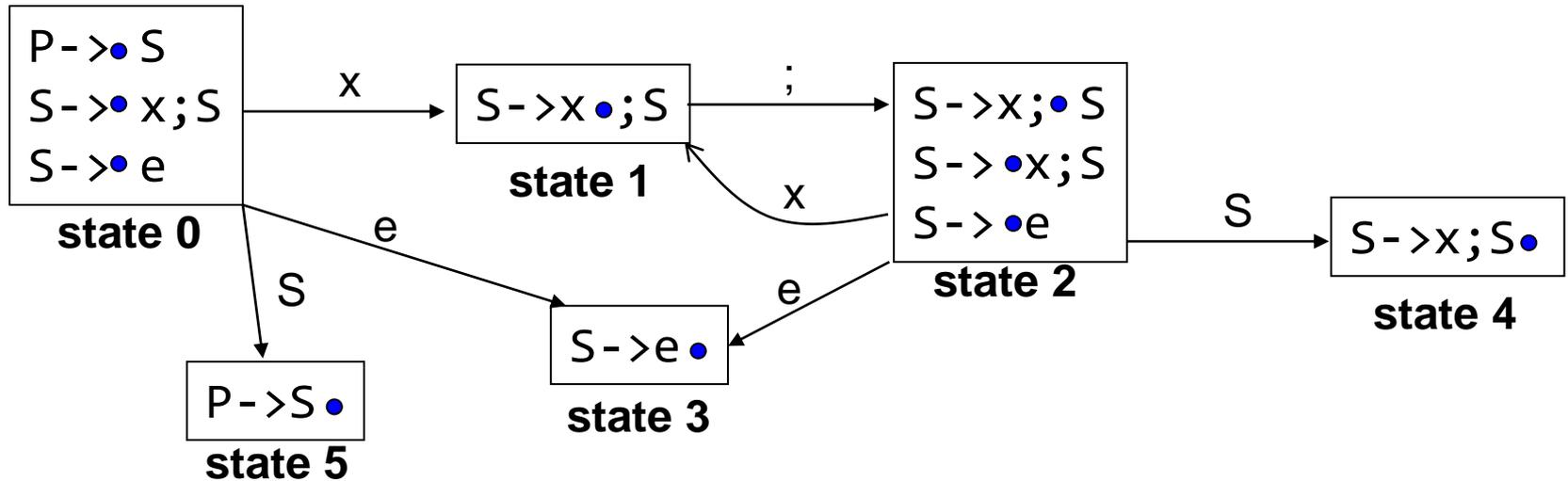
Parser is unable to decide between shifting and reducing

- When Dot is at the extreme right for more than one items?

Reduce-Reduce conflict

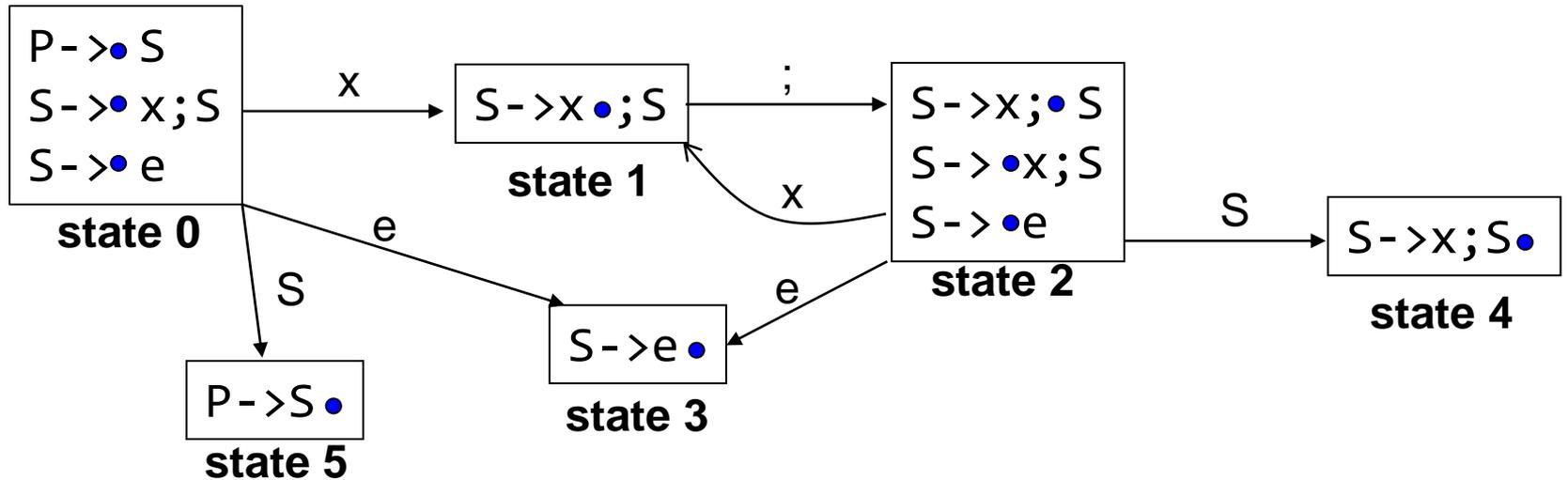
Parser is unable to decide between which productions to choose for reducing

Example: goto table



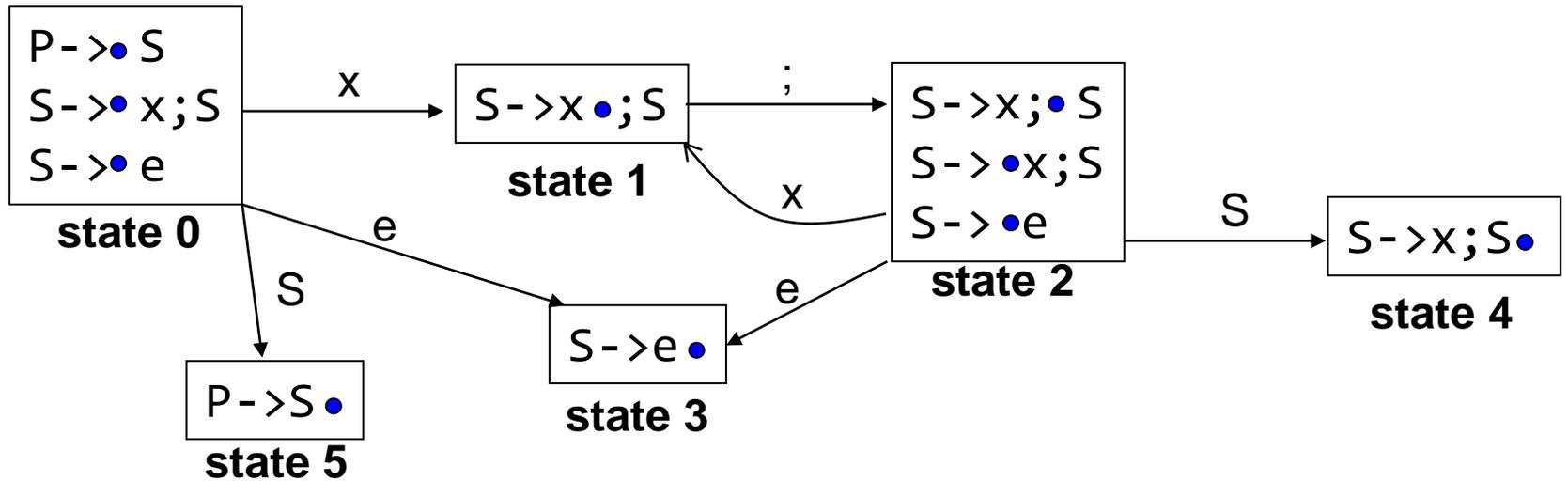
- construct transition table from CFSM.
 - Number of rows = number of states
 - Number of columns = number of symbols

Example: goto table



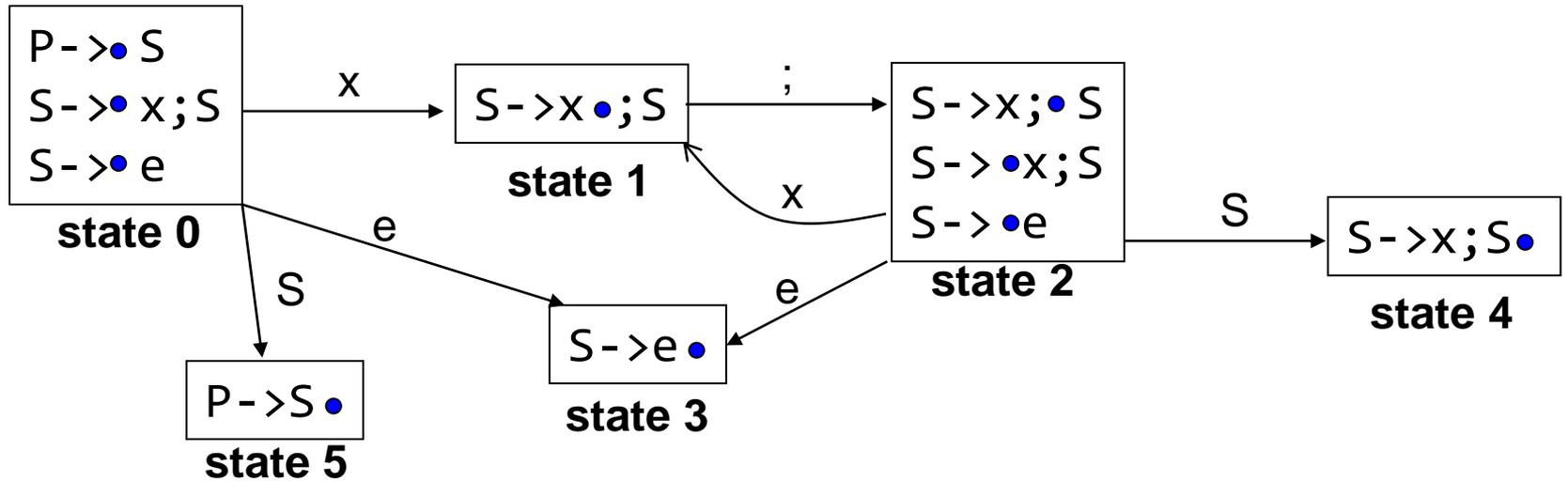
state	x	;	e	P	S
0	1		3		5
1		2			
2	1		3		4
3					
4					
5					

Example: action table



state	x
0	Shift
1	Shift
2	Shift
3	Reduce 3
4	Reduce 2
5	Accept

Example: action table



		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept

Suggested Reading

- Alfred V. Aho, Monica S. Lam, Ravi Sethi and Jeffrey D. Ullman: Compilers: Principles, Techniques, and Tools, 2/E, AddisonWesley 2007
 - Chapter 2 (2.4), Chapter 4
- Fisher and LeBlanc: Crafting a Compiler with C
 - Chapter 4, Chapter 5, and Chapter 6